

# Major stakeholder groups of HKEX and engagement channels

## Shareholders/ institutional and individual investors

- Annual general meeting & notices
- Regular corporate publications (including financial statements)
- Circulars and announcements whenever there are any updates
- HKEX website (online feedback form and Webcast)
- Direct communication with the Board via a designated email address
- Regular meetings, workshops and communications

## Market regulators and authorities

- Close and continuous conversation
- Monthly liaison meetings
- Ad hoc working meetings
- Monthly report
- Signing of Memorandum of Understanding from time to time
- Annual review of the SEHK's performance in its regulation of listing matters
- Written application for approval regarding rules of its exchanges and clearing houses whenever needed
- Representative from the Board at Financial Reporting Council
- Referred cases for investigation from time to time
- Informal meetings on specific cases
- Regular quarterly meetings on policy matters
- Attend to requests for documents or witness statements on ad hoc basis

## Government bodies

- Verbal and written communications with the Financial Services and the Treasury Bureau and the Companies Registry on a need basis
- Responding to enquiries at Legislative Council's meetings upon request
- Bi-monthly tripartite meetings

## Suppliers/business partners

- Project contracts on a fixed term or an ad hoc basis
- Site inspection as scheduled
- Questionnaire for new suppliers
- Meetings on a need basis
- Invitation to HKEX organised seminars related to Corporate Social Responsibility

## Listed/potential issuers and market intermediaries

- Free Listing Rules information seminars before implementation of new proposals or changes to the Listing Rules
- Regular Meet and Greet sessions and briefings
- Consultations on new proposals or changes to Listing Rules
- Guidance materials whenever necessary
- Investigation by written enquiries
- Regular meetings and communications and monthly workshops

## Employees

- Monthly focus group meeting
- Working group meetings on a need basis
- Project steering committees on a project basis
- Employee engagement survey
- Daily Intranet communication
- Frequent Staff Club activities
- Frequent Volunteer Team activities
- Quarterly Chief Executive presentation meetings
- Bi-monthly Management Committee meeting
- Regular Executive Forum

## Exchange/Clearing Participants/ Members, Information Vendors and market participants

- Regular consultative panel meetings
- Regular briefings and seminars and discussions
- Information package issued from time to time
- Regular Participant circulars
- Ad hoc surveys
- Market rehearsals whenever necessary
- Connectivity and user acceptance tests before and upon launch of new/ enhancement services
- Daily notices
- Annual inspection programme
- Market consultation on new proposals
- Regular meetings

## NGOs, industry associations, professional bodies and market users

- Consultations on new proposals
- Annual accounting forum by Hong Kong Institute of Certified Public Accountants/Financial Reporting Council/HKEX
- Regular meetings and correspondence
- Regular responses to assessments on HKEX's sustainability performance
- Ad hoc written requests
- Co-organisation of seminars and events

## Investing public, media and analysts

- Guided tour of the Exchange Exhibition Hall on prior arrangement
- Regular media interviews and events, including the annual media luncheon and media stand ups, guided visits and media/ analyst briefings on HKEX's financial results
- Regular media workshops
- News releases whenever there are any information updates
- Daily phone and written enquiries
- HKEX website (including Webcast) and news alerts
- HKEX and LME WeChat official accounts and Weibo account for the LME Week
- Webcast QR Code for HKEX Pulse and LME Pulse on the HKEX website
- HKEX YouTube channel

## Mainland and overseas exchanges

- Regular meetings on specific projects
- Delegations for courtesy and training purposes
- Signing of Memorandum of Understanding from time to time
- Frequent verbal and written communications
- Annual board meeting of World Federation of Exchanges
- Participation in annual market surveys and trading information updates
- Regular dialogue and staff exchange programme with other exchanges

**HKEX**  
香港交易所