

# BOARD OF DIRECTORS AND SENIOR MANAGEMENT

## Board of Directors



**Ronald Joseph ARCULLI**  
GBS, JP

Independent Non-executive Chairman  
Aged 71

Director since 26 April 2006  
Chairman since 28 April 2006  
Term of office: 24 April 2008  
(re-appointed) to 2010 AGM

### Other positions held within the Group

**HKEx** – chairman of Executive Committee, Nomination Committee, Remuneration Committee and Risk Management Committee  
**SEHK**<sup>1</sup> – chairman of Listing Appeals Committee and member of Listing Nominating Committee

### Other major offices

**Hang Lung Properties Limited** – non-executive director (1980~)  
**HKR International Limited** – non-executive director (1989~)  
**Hongkong Electric Holdings Limited** – non-executive director (1997~)  
**Hutchison Harbour Ring Limited** – non-executive director (2001~)  
**King & Wood** – partner/senior partner (2005~)  
**SCMP Group Limited** – non-executive director (1996~)  
**Sino Hotels (Holdings) Limited** – non-executive director (1994~)  
**Sino Land Company Limited** – non-executive director (1981~)  
**Tsim Sha Tsui Properties Limited** – non-executive director (1994~)  
**WFE** – vice-chairman and director (2010~)<sup>2</sup>

### Past offices

**Arculli and Associates** – senior partner (2000-2005)  
**Shanghai Century Acquisition Corporation** (listed on the American Stock Exchange) – non-executive director (2005-2008)  
**Sun Hung Kai Securities Limited** – executive director (1974-1975)  
**Woo Kwan Lee & Lo** – partner (retired in 2000)

### Public service

**Executive Council of the Hong Kong Government** – non-official member (2005~)  
**Health and Medical Development Advisory Committee** – vice-chairman (2005~)  
**Honours Committee** – member (2010~)<sup>2</sup>  
**Non-official Justices of the Peace Selection Committee** – member (2007~)  
**West Kowloon Cultural District Authority** – board member (2008~)

### Qualifications

**Barrister** (Hong Kong and England & Wales)  
**Barrister and Solicitor** (Victoria, Australia)  
**Solicitor** (Hong Kong)  
**Honorary Doctor of Social Science** (City University of Hong Kong)  
**Honorary fellow** (HKIoD)  
**Fellow** (HKMA)

1 Appointment as a member of the Disciplinary Appeals Committee ceased effective 16 December 2009.

2 Appointments effective 1 January 2010.



**CHOW Man Yiu, Paul**  
SBS, JP

Executive Director, Chief Executive  
(Retired on 16 January 2010)  
Aged 63

Chief Executive and ex-officio  
member of the Board: 1 May 2003  
to 15 January 2010

**Other positions held within the Group**<sup>1</sup>

**HKEx** – member of Executive Committee and chairman of Corporate Social Responsibility Committee  
**SEHK** – member of Listing Committee and GEM Listing Committee  
**HKCC, HKFE, HKSCC, SEHK and SEOCH** – chairman  
**HKEx's subsidiaries** – director

**Other major office**<sup>2</sup>

**Saudi Stock Exchange Company (Tadawul)** – member of International Advisory Board (2009~)<sup>3</sup>

**Past offices**

**HKSCC** – director (1990-1997) and chief executive (1990-1991)  
**HSBC Asset Management (Hong Kong) Limited** – chief executive, Asia Pacific ex-Japan Region (1997-2003)  
**SEHK** – chief executive (1991-1997)

**Qualifications**

**Bachelor of Science in Mechanical Engineering, Diploma in Management Studies and Master of Business Administration** (The University of Hong Kong)  
**Diploma in Finance (Distinction)** (The Chinese University of Hong Kong)  
**Distinguished fellow** (Hong Kong Computer Society)  
**Distinguished alumni fellow** (HKU SPACE ALUMNI)  
**Honorary fellowship** (The Hong Kong University of Science and Technology)  
**Honorary university fellowship** (The University of Hong Kong)  
**Honorary fellow** (HKSI)  
**University fellowship** (The Hong Kong Polytechnic University)  
**Fellow** (HKMA, HKICS, and The Institute of Chartered Secretaries and Administrators)  
**Honorary member** (Canadian Certified General Accountants Association of Hong Kong)

- 1 Mr Chow retired from all positions within the Group on 16 January 2010.
- 2 Appointments as (i) a director and the vice-chairman of the WFE and (ii) a member of the Standing Committee on Company Law Reform ceased effective 1 January 2010 and 16 January 2010 respectively.
- 3 Appointment effective 1 October 2009.



**LI Xiaojia, Charles**

Executive Director, Chief Executive  
(Effective 16 January 2010)  
Aged 48

Joined on 16 October 2009  
Ex-officio member of the Board  
Term of office: 16 January 2010  
to 15 October 2012

**Other positions held within the Group**<sup>1</sup>

**HKEx** – member of Executive Committee and chairman of Corporate Social Responsibility Committee  
**SEHK** – member of Listing Committee and GEM Listing Committee  
**HKCC, HKFE, HKSCC, SEHK and SEOCH** – chairman  
**HKEx's subsidiaries** – director

**Other major offices**

**Shanghai Pudong Development Bank Co Ltd (“SPDB”)** (listed on the Shanghai Stock Exchange) – non-executive director (2008~)<sup>2</sup>  
**China Vanke Co Ltd (“China Vanke”)** (listed on the Shenzhen Stock Exchange) – non-executive director (2008~)<sup>2</sup>  
**China Entrepreneurs Forum** – director (2005~)

**Past offices**

**J.P. Morgan China** – chairman (2003-2009)  
**Merrill Lynch China** (1994-2003: president (1999-2003))  
**Brown & Wood, New York** – associate (1993-1994)  
**Davis Polk & Wardwell, New York** – associate (1991-1993)

**Qualifications**

**Bachelor of Arts in English Literature** (Xiamen University, China)  
**Master of Arts in Journalism** (University of Alabama, US)  
**Juris Doctor** (Columbia University, US)

- 1 Appointments to these positions effective 16 January 2010.
- 2 In December 2009, Mr Li tendered his resignation from his office as an independent non-executive director of SPDB and China Vanke and as a convener of the Investment and Decision-making Committee of China Vanke. Pursuant to the “Guidelines for Establishing the Independent Directors System for Listed Companies” (《關於在上市公司建立獨立董事制度的指導意見》) issued by the CSRC and the relevant provisions of the respective articles of association of the two companies, his resignation as an independent non-executive director will take effect upon election at a shareholders’ meeting of a replacement to fill the casual vacancy arising therefrom. His resignation as a convener of the Investment and Decision-making Committee of China Vanke took effect on 29 December 2009.



**CHA May-Lung, Laura**  
GBS, JP

INED  
Aged 60

Director since 26 April 2006  
Term of office: 24 April 2008  
(re-appointed) to 2010 AGM

**Other positions held within the Group**

**HKEx** – chairman of Panel Member Nomination Committee and member of Remuneration Committee  
**SEHK** – member of Listing Nominating Committee

**Other major offices**<sup>1</sup>

**Bank of Communications Co Ltd** – non-executive director (2006~)  
**China Telecom Corporation Limited** – non-executive director (2008~)  
**Tata Consultancy Services Limited** (listed on the National Stock Exchange of India and the Bombay Stock Exchange) – non-executive director (2006~)  
**The Hongkong and Shanghai Banking Corporation Limited** – non-executive director (2004~) and non-executive deputy chairman (2007~)

**Past offices**

**Baoshan Iron & Steel Co Ltd** (listed on the Shanghai Stock Exchange) – non-executive director (2006-2009)  
**Johnson Electric Holdings Limited** – non-executive director (2004-2009)<sup>2</sup>  
**SFC** – deputy chairman (1998-2001), executive director (1994-2001), senior director (1993-1994) and assistant director (1991-1993)

**Public service**

**CSRC** – vice-chairman of International Advisory Council (2004~)  
**Executive Council of the Hong Kong Government** – non-official member (2004~)  
**ICAC** – chairman of Advisory Committee on Corruption (2007~)  
**Non-official Justices of the Peace Selection Committee** – member (2008~)  
**The 11th National People's Congress of the People's Republic of China** – HKSAR deputy (2008~)  
**The Chinese People's Political Consultative Conference Shanghai Committee** – member of Standing Committee (2008~)  
**University Grants Committee** – chairman (2007~)

**Qualifications**

**Bachelor of Arts** (University of Wisconsin, US)  
**Juris Doctor** (Santa Clara University, US)  
**State Bar of California** (US)

- 1 Appointment as a member of the International Advisory Board of Marsh & McLennan Companies, Inc (listed on the New York, Chicago and London stock exchanges) ceased effective 5 November 2009.
- 2 Appointment ceased effective 24 August 2009.



**CHAN Tze Ching, Ignatius**  
BBS, JP

INED  
Aged 53

Director since 23 April 2009  
Term of office: 23 April 2009  
(elected) to 2012 AGM

<b>Other positions held within the Group</b>	<b>HKEx</b> – member of Audit Committee and Nomination Committee, and deputy chairman of Clearing Consultative Panel <b>HKSCC</b> – member of Disciplinary Appeals Committee <sup>1</sup>
<b>Other major office</b>	<b>The Bank of East Asia, Limited</b> – senior adviser (2009~) <sup>2</sup>
<b>Past offices</b>	<b>Bank of China (Hong Kong) Limited</b> – deputy chief executive (2008) <b>Citigroup</b> (1980-2007: Citigroup country officer for Hong Kong and head of corporate and investment banking business for Greater China (2005-2007), chief operating officer for Greater China (2004-2005), and Citigroup country officer for Taiwan (2003-2005))
<b>Public service</b>	<b>Advisory Committee on Bond Market Development</b> – member (2010~) <sup>3</sup> <b>Advisory Committee on Human Resources Development in the Financial Services Sector</b> – chairman (2005~) <b>Greater Pearl River Delta Business Council</b> – member (2008~) <b>Travel Industry Compensation Fund Management Board</b> – chairman (2007~)
<b>Qualifications</b>	<b>Bachelor of Business Administration and Master of Business Administration</b> (University of Hawaii, US) <b>Certified Public Accountant</b> (American Institute of Certified Public Accountants)

1 Appointment effective 11 December 2009.

2 The office has been changed from consultant to senior adviser effective 31 December 2009.

3 Appointment effective 1 February 2010.



**CHENG Mo Chi, Moses**  
GBS, JP

INED  
Aged 59

Director since 26 April 2006  
Term of office: 24 April 2008  
(re-appointed) to 2010 AGM

**Other positions held within the Group**

HKEx – member of Audit Committee, Nomination Committee and Remuneration Committee

**Other major offices**

**China COSCO Holdings Company Limited** – non-executive director (2005~)  
**China Mobile Limited** – non-executive director (2003~)  
**China Resources Enterprise, Limited** – non-executive director (2005~)  
**City Telecom (H.K.) Limited** – non-executive director (1997~)  
**Guangdong Investment Limited** – non-executive director (1999~)  
**K. Wah International Holdings Limited** – non-executive director (2009~)  
**Kader Holdings Company Limited** – non-executive director (1999~)  
**Liu Chong Hing Investment Limited** – non-executive director (1999~)  
**P. C. Woo & Co** – senior partner (1994~)  
**Tian An China Investments Company Limited** – non-executive director (1997~)  
**Towngas China Company Limited** – non-executive director (2007~)

**Past offices**

**Beijing Capital International Airport Company Limited** – non-executive director (1999-2008)  
**Galaxy Entertainment Group Limited** – non-executive director (1996-2009)  
**SEHK** – chairman (2005-2006) and deputy chairman (2003-2005) of Listing Committee and GEM Listing Committee, member of Council (1997-2000) and convenor of Disciplinary Committee (1997-2000)  
**Shui On Construction and Materials Limited** – non-executive director (2004-2008)

**Public service <sup>1</sup>**

**Advisory Committee on Post-office Employment for Former Chief Executives and Politically Appointed Officials** – chairman (2009~)  
**Advisory Committee on Post-service Employment of Civil Servants** – chairman (2009~)  
**Education Commission** – chairman (2009~)  
**FRC** – member (2006~)

**Qualifications**

**Solicitor** (Hong Kong, England, Victoria of Australia and Singapore)  
**Notary Public**  
**China-Appointed Attesting Officer**  
**Fellow** (CPA Australia, and HKIoD)

<sup>1</sup> Appointments to the ICAC as the chairman of the Citizens Advisory Committee on Community Relations, and a member of the Advisory Committee on Corruption ceased effective 1 January 2010.



**CHEUNG Kin Tung, Marvin**  
GBS, JP

INED  
Aged 62

Director since 12 April 2005  
Term of office: 23 April 2009  
(re-appointed) to 2011 AGM

Other positions held within the Group	HKEx – chairman of Audit Committee and member of Risk Management Committee
Other major offices	Hang Seng Bank Limited – non-executive director (2004~) HKR International Limited – non-executive director (2004~) HSBC Holdings plc – non-executive director (2009~) Tracker Fund of Hong Kong – chairman of Supervisory Committee (2000~)
Past offices	KPMG Hong Kong (1969-2003: chairman and chief executive officer (1996-2003), and partner (1974-2003)) SEHK – chairman of Listing Committee and GEM Listing Committee (2003-2005) Sun Hung Kai Properties Limited – non-executive director (2007-2009) <sup>1</sup>
Public service <sup>2</sup>	Airport Authority Hong Kong – board member (2003~) and chairman (2008~) Barristers Disciplinary Tribunal Panel – member (1998~) Executive Council of the Hong Kong Government – non-official member (2005~) Honours Committee – member (2008~)
Qualifications	Honorary Doctorate in Business Administration (Hong Kong Baptist University) Fellow (HKICPA, and Institute of Chartered Accountants in England & Wales)

<sup>1</sup> Appointment ceased effective 3 December 2009.

<sup>2</sup> Appointments as a member of the ICAC's Operations Review Committee, and a member of the Panel of the Witness Protection Review Board ceased effective 1 January 2010.



**HUI Chiu Chung, Stephen**  
JP

INED  
Aged 62

Director since 23 April 2009  
Term of office: 23 April 2009  
(appointed) to 2011 AGM

Other positions held within the Group	HKEx – member of Investment Advisory Committee and deputy chairman of Cash Market Consultative Panel SEHK – member of Disciplinary Appeals Committee
Other major offices	Chun Wo Development Holdings Limited – non-executive director (2006~) Fraser's Property (China) Limited – non-executive director (2004~) Jiuzhou Development Company Limited – non-executive director (1998~) Lifestyle International Holdings Limited – non-executive director (2005~) Luk Fook Holdings (International) Limited – non-executive director (1997~) OSK Holdings Hong Kong Limited – chief executive officer (2005~)
Past offices	HKSCC – director (1992-1996 and 1997-2000) New World Mobile Holdings Limited – non-executive director (2005-2007) SEHK – member of Listing Committee and GEM Listing Committee (2003-2009), and second vice-chairman (1995-1996 and 1997-2000) and member (1991-1996) of Council UOB Kay Hian (Asia) Limited – managing director (2002-2005)
Public service <sup>1</sup>	SFC – member of Committee on Real Estate Investment Trusts (2005~) Travel Industry Council of Hong Kong – member of Appeal Board (2007~) Zhuhai Municipal Committee of the Chinese People's Political Consultative Conference – member (2006~)
Qualifications	Fellow (HKIoD) Ordinary member (HKSI)

<sup>1</sup> Appointment as a member of the Standing Committee on Company Law Reform ceased effective 1 February 2010.



**KWOK Chi Piu, Bill**  
JP

INED  
Aged 57

Director since 3 April 2000  
Term of office: 24 April 2008  
(re-elected) to 2011 AGM

**Other positions held within the Group**

**HKEx** – member of Executive Committee, Panel Member Nomination Committee and Risk Management Committee, and chairman of Derivatives Market Consultative Panel  
**HKFE** – chairman of Disciplinary Appeals Committee <sup>1</sup>

**Other major offices**

**Bloomberg L.P.** – member of Asia Pacific Advisory Board (2006~)  
**HSBC Private Bank (Suisse) SA** – non-executive director (2006~)  
**Wing On Company International Limited** – non-executive director (1992~)  
**Wing On International Holdings Limited** – executive director (1991~)  
**Wocom Holdings Limited** – managing director (1992~)

**Past offices**

**HKFE** – director (1991-2000) and vice-chairman (1997-2000)

**Public service**

**SFC** – member of Takeovers and Mergers Panel and Takeovers Appeal Committee (2005~)

**Qualifications**

**Bachelor of Science (Chemistry)** and **Bachelor of Arts (Economics)** (Stanford University, US)  
**Doctor of Philosophy (Biochemistry)** (University of Chicago, US)  
**Fellow (HKSI)**

<sup>1</sup> In addition to being a member, Dr Kwok was appointed as the chairman of the Disciplinary Appeals Committee on 16 December 2009.



**LEE Kwan Ho, Vincent Marshall**

Officer of the Order of the Crown  
(Belgium)

INED  
Aged 54

Director since 3 April 2000  
Term of office: 24 April 2008  
(re-elected) to 2011 AGM

**Other positions held within the Group**

**HKEx** – deputy chairman of Audit Committee, member of Executive Committee, Panel Member Nomination Committee and Remuneration Committee, and chairman of Cash Market Consultative Panel  
**SEHK** <sup>1</sup> – chairman of Disciplinary Appeals Committee <sup>2</sup>

**Other major offices**

**Kingway Brewery Holdings Limited** – non-executive director (2009~)  
**Tung Tai Group of Companies** – managing director (1990~)

**Past offices**

**Coopers and Lybrand, Los Angeles & Boston** – certified public accountant (1978-1981)  
**HSBC group, Hong Kong & Vancouver** – senior banker (1981-1990)

**Public service**

**Brewin Trust Fund Committee** – member (2006~)  
**Correctional Services Children's Education Trust Investment Advisory Board** – member (2006~)

**Qualifications**

**Graduated Magna Cum Laude in Accounting and International Finance** (University of Southern California, US)  
**Master of Economics** (London School of Economics and Political Science, UK)  
**Certified Public Accountant** (State of California, US)  
**Fellow** (HKICPA, and HKIoD)

<sup>1</sup> Appointment as a member of the Disciplinary Committee ceased effective 16 December 2009.

<sup>2</sup> Appointment effective 16 December 2009.



**LEE Tze Hau, Michael**

INED  
Aged 48

Director since 23 April 2009  
Term of office: 23 April 2009  
(appointed) to 2011 AGM

**Other positions held within the Group**

**HKEx** – member of Investment Advisory Committee and Panel Member Nomination Committee, and deputy chairman of Derivatives Market Consultative Panel  
**HKFE** – member of Disciplinary Appeals Committee <sup>1</sup>

**Other major offices**

**Chen Hsong Holdings Limited** – non-executive director (2008~)  
**Hysan Development Company Limited** – non-executive director (2010~) <sup>2</sup>  
**MAP Capital Limited** – managing director (2007~)  
**Tai Ping Carpets International Limited** – non-executive director (1998~)  
**The Hong Kong Jockey Club** – steward (2006~)  
**Trinity Limited** – non-executive director (2008~) <sup>3</sup>

**Past offices**

**Asia Strategic Investment Management Limited** – managing director (1995-2002)  
**Hysan Development Company Limited** – managing director (2003-2007), chief operating officer (2002-2003) and non-executive director (1990-2002)  
**Lloyd George Management** – executive director (1992-1995)  
**SEHK** – member of Listing Committee (2004-2007) and GEM Listing Committee (2006-2007)

**Public service**

**Beat Drugs Fund Association** – member of Investment Sub-committee (2008~)  
**Council of the Queen Elizabeth Foundation for the Mentally Handicapped** – member (2009~) <sup>4</sup>  
**Hong Kong Committee for Pacific Economic Cooperation** – member (2008~)  
**Police Children's Education Trust Management Committee** – member (2008~)  
**Police Education and Welfare Trust Management Committee** – member (2008~)  
**Standing Committee on Disciplined Services Salaries and Conditions of Service** – member (2007~)

**Qualifications**

**Bachelor of Arts** (Bowdoin College, US)  
**Master of Business Administration** (Boston University, US)

1 Appointment effective 16 December 2009.

2 Appointment effective 11 January 2010.

3 Trinity Limited became a listed company on the Main Board of the Stock Exchange effective 3 November 2009.

4 Appointment effective 15 August 2009.



**John Estmond STRICKLAND**  
GBS, JP

INED  
Aged 70

Director since 3 April 2000 \*  
Term of office: 26 April 2007  
(re-elected) to 2010 AGM

**Other positions held within the Group**

**HKEx** – chairman of Investment Advisory Committee and member of Nomination Committee  
**SEHK** – deputy chairman of Listing Appeals Committee and member of Listing Nominating Committee

**Other major offices**

**Esquel Holdings Inc** – non-executive director (2001~)  
**Integrated Distribution Services Group Limited** – non-executive director (2004~)

**Past offices**

**HSBC, Hong Kong & London** (1971-1998 and 1966-1969)  
**The Hongkong and Shanghai Banking Corporation Limited** – chairman (1996-1998)  
**Yoma Strategic Holdings Ltd** (listed on the Singapore Exchange) – non-executive director (2006-2009)

**Public service**

**Hong Kong Cyberport Management Company Limited** – chairman (2003~)  
**Hong Kong Domain Name Registration Company Limited** – non-executive chairman and non-executive director (2009~)  
**Hong Kong Internet Registration Corporation Limited** – non-executive director (2008~) and non-executive chairman (2009~)

**Qualifications**

**Degree in Physics** (Jesus College, University of Cambridge, UK)  
**Honorary Doctorate in Business Administration** (City University of Hong Kong)  
**Honorary Doctorate in Technology** (The Hong Kong Polytechnic University)  
**Distinguished fellow** (Hong Kong Computer Society)  
**Honorary fellow** (The Hong Kong Institute of Bankers)  
**Honorary university fellowship** (The University of Hong Kong)  
**Fellow** (HKMA)

\* Prior to his appointment as Director on 3 April 2000 by the Financial Secretary under the Exchanges and Clearing Houses (Merger) Ordinance (repealed), Mr Strickland was also a member of the Preparatory Board from 8 July 1999. Mr Strickland is currently an Elected Director.



**John Mackay McCulloch  
WILLIAMSON**

INED  
Aged 51

Director since 18 June 2008  
Term of office: 23 April 2009  
(elected) to 2012 AGM

**Other positions held within the Group**

**HKEx** – member of Audit Committee, Executive Committee and Remuneration Committee

**Other major offices**

**Search Investment Group Limited** – managing director and chief financial officer (2007~)

**Past offices**

**HKEx** – member of Clearing Consultative Panel (2000-2007)

**Morgan Stanley Dean Witter Asia Limited** – managing director, and head of infrastructure and operational risk (1998-2007)

**NatWest Investment Services, London** – managing director (1992-1994)

**NatWest Securities Asia Holdings Limited** – chief operating officer (1994-1998)

**Qualifications**

**Bachelor of Arts (Accountancy & Computer Science)** (Heriot-Watt University, UK)

**Chartered Accountant** (The Institute of Chartered Accountants of Scotland)

**Fellow** (HKSI, and Chartered Institute for Securities and Investment, UK)



**WONG Sai Hung, Oscar**

INED  
Aged 54

Director since 15 April 2003  
Term of office: 26 April 2007  
(re-elected) to 2010 AGM

**Other positions held within the Group**

**HKEx** – deputy chairman of Investment Advisory Committee, member of Nomination Committee and Panel Member Nomination Committee, and chairman of Clearing Consultative Panel

**HKSCC** – chairman of Disciplinary Appeals Committee<sup>1</sup>

**Other major offices**

**ARN Asian Enterprise Fund Limited** (listed on the Irish Stock Exchange) – non-executive director (2006~)

**China Bio-Med Regeneration Technology Limited** – non-executive director (2008~) and non-executive vice-chairman (2009~)

**ICBC (Asia) Investment Management Company Limited** – chief executive and executive director (2008~)

**Past offices**

**BOCI-Prudential Asset Management Limited** – chief executive officer (2001-2005)

**INVESCO Asia Limited** – deputy chief executive (1998)

**Prudential Portfolio Managers Asia** – regional managing director (1999-2000)

**Public service**

**Hong Kong Housing Authority** – member of Finance Committee (2008~)

**Qualifications**

**Higher Diploma in Business Studies (Marketing)** (The Hong Kong Polytechnic University)

<sup>1</sup> In addition to being a member, Mr Wong was appointed as the chairman of the Disciplinary Appeals Committee (formerly known as Disciplinary Appeals Panel) on 11 December 2009.

## Senior Management



**Gerald Dale GREINER**

Chief Operating Officer

Aged 53

Joined in May 2004

**Other positions held within the Group**

**SEHK** – chief executive, and member of Compensation Committee

**HKFE** – chief executive

**HKSCC** – chief executive, and member of Risk Management Committee

**Past offices**

**HKE** – Deputy Chief Operating Officer and Head of Exchange Division (2004-2007)

**SFC** – senior director of supervision of markets division (1989-2004)

**US Securities and Exchange Commission** – various senior executive positions (1984-1989)

**Qualifications**

**Bachelor of Science in Economics** (Allegheny College, US)

**Juris Doctor** (University of Toledo College of Law, US)



**Peter Joseph CURLEY**

Executive Vice President,  
Advisory Services

Aged 44

Joined in September 2003

**Other position held within the Group**

**HKE** – member of Corporate Social Responsibility Committee

**Past offices**

**HKE** – senior vice president of Listing Division (2003-2007)

**Allen & Overy (Hong Kong)** – partner (2000-2003)

**Qualifications**

**Master of Business Administration** (Massachusetts Institute of Technology, US)

**Juris Doctor** (University of Virginia, US)



**Mark Francis DICKENS**  
JP

Head of Listing  
(Effective 1 March 2009)

Aged 57

Joined in January 2009

**Past offices**

**Value Partners Limited** – chief risk officer (2007-2008)

**HT Capital Management Limited** – adviser (2007)

**HKE** – member of Risk Management Committee (2003-2005)

**SFC** – executive director of supervision of markets division (1999-2005), executive director of enforcement division (1997-1999), and senior director, assistant director and member of panel on takeovers and mergers of corporate finance division (1991-1996)

**Australian Securities Commission and its predecessor, National Companies and Securities Commission** – several senior positions including national managing director, and senior director of market supervision division (1987-1991)

**Public service**

**SFC** – member of advisory committee (2009~)

**Standing Committee on Company Law Reform** – member (2010~)

**Qualifications**

**Bachelor of Economics** and **Bachelor of Laws** (The Australian National University)

**Solicitor Attorney** and **Proctor** (Supreme Court of New South Wales, Australia)

**Barrister** and **Solicitor** (Supreme Court of the Australian Capital Territory, the High Court of Australia and the Supreme Court of Victoria, Australia)



**FOK Kwong Man, Lawrence**

Chief Marketing Officer  
Aged 60

Joined in February 1992

**Past offices** **SEHK** – senior executive director of regulatory affairs group (1998), executive director of the listing division (1997), director of the listing division (1994-1997), and assistant director of the listing division (1992-1994)  
**SFC** – assistant director of corporate finance (1989-1992)

**Qualifications** **Bachelor of Science in Engineering** (The University of Hong Kong)  
**Master of Business Administration** (Columbia University, US)  
**Master of Arts (Economics) and Master of Science (Statistics)** (Stanford University, US)



**KAO May Loy, Mary**

Chief Counsel and  
Head of Legal Services  
Aged 60

Joined in July 1990

**Past offices** **International Bank of Asia Limited** – general counsel, head of the legal affairs group (1985-1990)  
**Sun Hung Kai Group** – general counsel, head of the legal and secretarial department (1981-1985)  
**American International Assurance Company, Limited** – assistant general counsel, assistant vice president and secretary (1978-1981)

**Qualifications** **Bachelor of Laws** (The University of Hong Kong)  
**Bachelor of Civil Law** (University of Oxford, UK)  
**Diploma in Chinese Law** (University of East Asia, Macau)  
**Barrister-at-Law** (Hong Kong and England & Wales)  
**Barrister and Solicitor** (the Australian Capital Territory)



**Kevin Thomas KING**

Head of Risk Management  
Aged 55

Joined in March 2008

**Other position held within the Group** **HKSCC** – member of Risk Management Committee

**Past offices** **OMX Nordic Exchange (Stockholm)** – vice president risk management (2000-2008)  
**Rabobank International** – vice president team leader, risk management area – North America (1996-2000)  
**Roundhill Group Limited** – executive consultant (1995-1996)

**Qualifications** **Masters of Business Administration (Finance)** (Graduate School of Business, Fordham University, US)  
**Bachelor of Arts in Economics** (St Lawrence University, US)  
**Member** (Global Association of Risk Professionals, and Professional Risk Managers' International Association)



**Romnesh LAMBA**

Head of Market Development  
Aged 46

Joined in February 2010

**Past offices**

**J.P. Morgan (Hong Kong)** – senior adviser, Asia ex-Japan corporate finance and capital markets business (2008-2010)  
**Merrill Lynch (Asia Pacific)** (2000-2008: managing director, investment banking, and chief operating officer of China origination business (2006-2008), and head of Asia energy and power team (2003-2006))  
**Indosuez W.I. Carr Securities (Hong Kong)** – head of equity capital markets and director, investment banking (1997-2000)

**Qualifications**

**Bachelor of Science in Economics (Magna Cum Laude) and Master of Business Administration (Distinction)**  
 (The Wharton School, University of Pennsylvania, US)



**LAW Man Wai, Henry**

Head of Corporate Communications  
Aged 55

Joined in January 1988

**Past offices**

**Ogilvy and Mather Public Relations (Hong Kong) Limited**  
 – senior consultant and group head of the public relations section for financial institutions and listed companies (1982-1988)  
**Michael Stevenson Limited** – advertising and public relations executive (1974-1982)



**TSIM Tak Chee, Archie**

Chief Financial Officer and  
Head of Finance and Administration  
Aged 51

Joined in October 2004

**Past offices**

**The Hong Kong and Shanghai Banking Corporation Limited**  
 – managing director for corporate finance and advisory, Asia-Pacific (1997-2004)  
**SEHK** – deputy head of corporate finance in the listing division (1992-1997)

**Qualifications**

**Bachelor of Science in Management Sciences**  
 (University of Manchester, UK)  
**Fellow** (HKICPA, and Association of Chartered Certified Accountants)



**WONG Kwok Kuen, Alfred**

Chief Technology Officer and  
Head of Information Technology  
Aged 53

Joined in November 1992

**Other position held within the Group**      **HKEx** – member of Corporate Social Responsibility Committee

**Past offices**      **Australia's Westpac Banking Corp** – consultant application engineer (1988-1992)  
**Australian Stock Exchange** – project leader (1987-1988)  
**SEHK** – computer development manager (1985-1987)

**Qualifications**      **Bachelor of Science in Computer Science and Chemistry** (The University of Hong Kong)  
**Graduate Management Qualification** (University of New South Wales, Australia)  
**Executive Master of Business Administration** (The Chinese University of Hong Kong)  
**Full member** (Hong Kong Computer Society)  
**Ordinary member** (HKSI)

## Company Secretary



**MAU Kam Shing, Joseph**

Company Secretary and  
Head of Secretarial Services  
Aged 51

Joined in June 2000

**Other position held within the Group**      **HKEx** – member of Corporate Social Responsibility Committee

**Past offices**      **Hysan Development Company Limited** – company secretary (1988-2000)  
**Impala Pacific Corporation Limited** – financial controller and company secretary (1986-1988)  
**Deloitte Haskins & Sells** – audit senior (1981-1984)

**Qualifications**      **Master of Science (Business Administration)** (University of Bath, UK)  
**Master of Laws in Corporate and Financial Laws** (The University of Hong Kong)  
**Fellow** (HKICS, The Institute of Chartered Secretaries and Administrators, and Association of Chartered Certified Accountants)  
**Associate** (HKICPA, and Institute of Chartered Accountants in England & Wales)

Messrs Gerald D Greiner, Lawrence K M Fok and Archie T C Tsim, and Ms Mary M L Kao also hold position as a director in one or more of the Group's subsidiaries.