

## HONG KONG EXCHANGES AND CLEARING LIMITED (“HKEX”) CORPORATE RESPONSIBILITY (“CR”) POLICY

At HKEX, we understand that our responsibilities go beyond our status as just an exchange group – our issuers, business partners and the wider community look to us to lead the way and set an example. As we work to **connect, promote and progress our financial markets and the communities they support for the prosperity of all**, we are always looking for new ways to reinforce our commitment to be an active and responsible leader.

CR is viewed as a business philosophy that creates sustainable value for our stakeholders by embracing opportunities and managing risks deriving from economic, environmental and social developments. This Policy defines our long-term commitment and approach to specific issues, which is instrumental in enabling our business to operate in a sustainable manner, through:

- promoting a culture of strong corporate governance and environmental, social and governance (“ESG”) management ourselves, and amongst our listed issuers in order to improve the overall quality of our markets;
- promoting and enhancing the governance and transparency of ESG behaviours and reporting to improve risk management processes and facilitates informed investor decision-making;
- advancing low-carbon and responsible investing by facilitating the provision and adoption of socially and/or environmentally responsible products in the market;
- investing in the career and personal development of our people by offering a range of comprehensive benefits and training to ensure the physical, mental and financial wellness of our employees and their families;
- embracing diversity and equality in all guises; helping to foster an inclusive culture in our workplace and the wider community;
- utilising our own expertise and resources to champion financial literacy in the community, believing that education underpins the long-term health of society;
- giving back to the community to help address social and environmental challenges it faces, with a focus on fostering social diversity and inclusion, supporting the underprivileged, and addressing climate change and sustainability;
- upholding the highest standard of ethics and integrity in all that we do. In particular reinforcing our corporate values of Integrity, Diversity, Excellence, Collaboration and Engagement;
- responsibly managing the environmental impact of our operations, with a focus on reducing carbon emissions, saving energy and paper consumptions, and recycling wastes;
- maintaining a responsible and ethical supply chain to promote better business and a better society; and
- communicating our CR commitment and progress through transparent and relevant reporting.

This Policy is communicated to our stakeholders, including but not limited to our employees, shareholders, suppliers, business partners and customers, and made available to the public, in particular the investing public.

We are committed to the continual development of this Policy and its integration into our operations.