

# Corporate Social Responsibility Committee Report

## The CSR Committee

The Corporate Social Responsibility (CSR) Committee is delegated with the authority by the Board to provide direction and oversee the development and implementation of the CSR initiatives of the Group in relation to corporate sustainability, environmental protection, philanthropy and community engagement. Its terms of reference are available on the HKEX Group website [OS](#).

The CSR Committee comprises five INEDs and the HKEX Chief Executive Officer, whose names and biographies are set out in the Board and Committees section of this Annual Report. The CSR Committee held four meetings in 2023. Members' attendance records are disclosed in the Corporate Governance Report contained in this Annual Report.

### Summary of Work in 2023/2024

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- Reviewed and endorsed the following items:
  - the annual CSR Report; and
  - the philanthropy work plan and budget for 2024
- Reviewed and received the quarterly reports on the Group's CSR activities and charitable donations, covering:
  - donations via HKEX Foundation in relation to the HKEX Charity Partnership Programme, HKEX Impact Funding Scheme, HKEX University Scholarship Programme and HKEX Research Funding Scheme;
  - donations to The Community Chest of Hong Kong; and
  - other donations to the Group's charity partners
- Reviewed the HKEX Shareholders Communication Policy
- Reviewed and discussed HKEX's CSR initiatives, alongside global and local trends in CSR development
- Reviewed the progress of HKEX's green initiatives against relevant targets, including HKEX's climate strategy and action plan, supply chain sustainability and other green initiatives
- Reviewed and was satisfied with the adequacy of the Group's resources, staff qualifications and experience, training programmes and budget relating to its ESG (including climate strategy) performance and reporting
- Reviewed the CSR Committee's terms of reference

## CSR Policies, Performance and Reporting

HKEX is committed to connecting, promoting and progressing its markets and the communities they support; and, to continuously seeking to reinforce its position as an active and responsible corporate leader. HKEX's CSR policies and details of HKEX's CSR management approach are available on the HKEX Group website [CSR](#).

Reflecting the nature of its business, the Group is not aware of any existing environmental laws or regulations that would have a material impact on the Group. The Group, however, continues to adopt enhanced measures to reduce energy and other resource utilisation, minimise waste and increase

recycling, encouraging its employees to adopt environmentally responsible behaviours whilst also promoting environmental protection in its supply chain and marketplace. To reinforce our commitment to tackling climate change, the Group continued to work closely with government, policy makers, regulators, international peers, businesses and other stakeholders to facilitate the transition to a low-carbon and climate resilient economy. In November 2023, HKEX announced the acceleration of its commitment to achieve carbon neutrality by 2024 and net zero by 2040, ten years ahead of the original target date. And during 2023, HKEX conducted again its "HKEX Goes Green" campaign to raise environmental awareness across its workplace and the broader community.

As a responsible corporate citizen, the Group actively contributes to the community by making charitable donations to, and collaborating with, a wide range of charity partners. In 2023, HKEX, through HKEX Foundation, reinforced our commitment to the community by supporting local charities and social enterprises, through the HKEX Charity Partnership Programme and the HKEX Impact Funding Scheme. The HKEX University Scholarship Programme and HKEX Research Funding Scheme continued to nurture homegrown talents and support innovative research in the fields of ESG, sustainable finance, biotechnology and specialist technology. In the UK, the LME continued its partnership with Inspire, a charity and an education business partnership which seeks to empower young people to reach their full potential and realise their ambitions. In addition, the LME set up a new fund to provide two scholarships per year for students at the Camborne School of Mines, to help them on their journey to forging a successful mining career. During 2023, the Group donated a total of \$95 million to various projects and initiatives in its communities.

During 2023, the CSR Committee received and reviewed the CSR quarterly reports, which summarised the CSR initiatives undertaken by the Group throughout the year. Details of the Group's CSR performance in 2023 are disclosed in the 2023 CSR Report.

## Communication with Shareholders

Effective engagement with Shareholders is a vital component of HKEX as a listed company. Throughout the year, HKEX has continued to deliver timely, balanced, clear, and transparent communications with its Shareholders and other investors. Details of the Group's shareholder and investor engagement and communication activities are set out in the Corporate Governance Report of this Annual Report and further details can be found on the Investor Relations section of the HKEX Group website [IR](#).

An HKEX Shareholders Communication Policy, which is available on the HKEX Group website [CG](#), sets out the Group's objective for ensuring Shareholders, and in appropriate circumstances, the wider investment community, are provided with regular, equal and timely access to balanced and understandable information about the Group, which ensures that Shareholders can exercise their rights in an informed manner and allows them, and the investment community, to engage actively with the Group.

The CSR Committee reviewed the Group's shareholders and investor engagement and communication activities conducted in 2023 and was satisfied with the implementation and effectiveness of the Shareholders Communication Policy.

## Relationships with other Major Stakeholders

The Group also actively engages with all its other major stakeholders, including its employees, suppliers and partners through a variety of channels with the aim of developing mutually beneficial relationships and promoting knowledge sharing, transparency and sustainability.

Employees are remunerated equitably and competitively. Learning and development opportunities are provided to equip staff members so that they can perform the best and achieve personal and corporate goals. In 2023 the Group delivered over 47,900 hours of training to its employees across different divisions and departments through the Group's learning platform iLearn and talent development programmes designed for employees at different levels. The Group also undertook its biennial People Survey to gather employees' feedback on their views of the Company, their working environment and their levels of engagement. In addition to ongoing programmes supporting employee safety, health and well-being, the Group conducted again its group-wide Global Wellness Challenge to promote the awareness of physical and mental wellbeing among our employees in Hong Kong, the Mainland and London.

HKEX is committed to ensuring strong ethical supply chain management and seeks to use suppliers that reflect its values and commitment to being a good corporate citizen. Throughout the year, the Group was not aware that any of its key suppliers had reported any significant actual, or potential, negative impact on their own business ethics, environmental protection, human rights or labour practices, nor any of them had any non-compliance incident in respect of these areas.

### Laura M CHA

Chairman of the CSR Committee

Hong Kong, 28 February 2024