

# BOARD OF DIRECTORS AND SENIOR MANAGEMENT

## Board of Directors



**CHOW Chung Kong**

Chairman, INED

Aged 62

Director since 23 April 2012

Chairman since 27 April 2012

Term of office: 23 April 2012  
(appointed) to 2014 AGM

### Other positions held within the Group

**HKE** – chairman of Environmental, Social and Governance Committee, Executive Committee, Nomination Committee, Remuneration Committee and Risk Management Committee

**SEHK** – chairman of Listing Appeals Committee and member of Listing Nominating Committee

**LME** – independent non-executive director <sup>1</sup>, chairman of Remuneration Committee and member of Nomination Committee <sup>2</sup>

**LMEH** – independent non-executive director <sup>1</sup>

### Other major offices

**AIA Group Limited** \* – independent non-executive director (2010~)

**Anglo American plc** (listed on the London Stock Exchange and Johannesburg Stock Exchange) – independent non-executive director (2008~)

**The Hong Kong General Chamber of Commerce** – chairman (2012~)

**The Hong Kong Jockey Club** – steward (2011~)

**World Federation of Exchanges** – director (2012~) <sup>3</sup>

### Past offices

**Brambles Industries plc** – chief executive (2001-2003)

**GKN plc** – chief executive (1997-2001)

**MTR Corporation Limited** \* – chief executive officer (2003-2011)

### Public service <sup>4</sup>

**Commission on Strategic Development**  
– non-official member (2013~) <sup>5</sup>

**Economic Development Commission** – non-official member and  
convenor of Working Group on Transportation (2013~) <sup>6</sup>

**Executive Council of the HKSAR** – non-official member (2012~)

**Hong Kong Trade Development Council** – council member (2012~) <sup>7</sup>

**ICAC** – chairman of Advisory Committee on Corruption (2013~) <sup>8</sup>

**The Standing Committee on Directorate Salaries and Conditions of Service** – member (2007~)

**The Standing Committee on Judicial Salaries and Conditions of Service** – member (2010~)

### Qualifications

**Chartered Engineer** (The Institution of Chemical Engineers, UK)

**Bachelor of Science (Chemical Engineering)**  
(University of Wisconsin, US)

**Master of Science (Chemical Engineering)**  
(University of California, US)

**Master of Business Administration**  
(The Chinese University of Hong Kong)

**Honorary Doctor of Engineering** (The University of Bath, UK)

**Honorary Fellow** (The Chinese University of Hong Kong, The Hong Kong Institution of Engineers, and The Institution of Engineering and Technology)

**Fellow** (City & Guilds of London Institute, Hong Kong Academy of Engineering Sciences, The Chartered Institute of Logistics and Transport in Hong Kong, The Institution of Chemical Engineers, and The Royal Academy of Engineering)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment effective 6 December 2012

<sup>2</sup> Appointments effective 13 December 2012

<sup>3</sup> Appointment effective 15 October 2012

<sup>4</sup> Appointment as a member of the Independent Commission on Remuneration for Members of the Executive Council and the Legislature, and Officials under the Political Appointment System ceased effective 1 July 2012. The change was officially announced by the Government on 17 August 2012.

<sup>5</sup> Appointment effective 18 January 2013


<sup>6</sup> Appointments effective 17 January 2013

<sup>7</sup> Appointment effective 24 May 2012

<sup>8</sup> Appointment effective 1 January 2013

	<b>Other positions held within the Group</b>	<b>HKEx</b> – member of Environmental, Social and Governance Committee, and Executive Committee <b>SEHK</b> – member of Listing Committee and GEM Listing Committee <b>HKCC, HKFE, HKSCC, SEHK and SEOCH</b> – chairman <b>LME</b> – member of Nomination Committee, Remuneration Committee and Special Committee <sup>1</sup> <b>HKEx's certain subsidiaries</b> – director
<b>LI Xiaojia, Charles</b>  Executive Director, Chief Executive Aged 51  Joined on 16 October 2009  Chief Executive since 16 January 2010 Ex-officio member of the Board Term of office: renewed up to 15 October 2015	<b>Other major office</b>	<b>China Entrepreneurs Forum</b> – director (2005~)
	<b>Past offices</b>	<b>Brown &amp; Wood, New York</b> – associate (1993-1994) <b>China Vanke Co Ltd</b> (listed on the Shenzhen Stock Exchange) – independent non-executive director (2008-2010) <b>Davis Polk &amp; Wardwell, New York</b> – associate (1991-1993) <b>J.P. Morgan China</b> – chairman (2003-2009) <b>Merrill Lynch China</b> (1994-2003: president (1999-2003)) <b>Shanghai Pudong Development Bank Co Ltd</b> (listed on the Shanghai Stock Exchange) – independent non-executive director (2008-2010)
	<b>Public Service</b>	<b>Consulting Committee of Qianhai Shenzhen-Hong Kong Modern Service Industry Cooperation Zone of Shenzhen</b> – member (2012~)
	<b>Qualifications</b>	<b>Bachelor of Arts in English Literature</b> (Xiamen University, China) <b>Master of Arts in Journalism</b> (University of Alabama, US) <b>Juris Doctor</b> (Columbia University, US)

<sup>1</sup> Appointments effective 13 December 2012

	<b>Other positions held within the Group</b>	<b>HKEx</b> – member of Environmental, Social and Governance Committee, and Remuneration Committee <b>SEHK</b> – member of Listing Nominating Committee
<b>Ronald Joseph ARCULLI</b> GBM, GBS, JP  INED Aged 74  Director since 26 April 2006 Term of office: 23 April 2012 (re-appointed) to 2013 AGM	<b>Other major <sup>1</sup> offices</b>	<b>Hang Lung Properties Limited *</b> – independent non-executive director (1980~) <b>HKR International Limited *</b> – non-executive director (1989~) <b>Hutchison Harbour Ring Limited *</b> – non-executive director (2001~) <b>King &amp; Wood Mallesons</b> – partner/senior partner (2005~) <b>Power Assets Holdings Limited *</b> – non-executive director (1997~) <b>SCMP Group Limited *</b> – independent non-executive director (1996~) <b>Sino Hotels (Holdings) Limited *</b> – non-executive director (1994~) <b>Sino Land Company Limited *</b> – non-executive director (1981~) <b>Tsim Sha Tsui Properties Limited *</b> – non-executive director (1994~)
	<b>Past offices</b>	<b>Arculli and Associates</b> – senior partner (2000-2005) <b>HKEx</b> – chairman (2006-2012) <b>Sun Hung Kai Securities Limited</b> – executive director (1974-1975) <b>Woo Kwan Lee &amp; Lo</b> – partner (retired in 2000)
	<b>Public service</b>	<b>Health and Medical Development Advisory Committee</b> – vice-chairman (2005~) <b>The Hong Kong Award for Young People</b> – honorary president (2010~) <b>West Kowloon Cultural District Authority</b> – board member (2008~)
	<b>Qualifications</b>	<b>Barrister and Solicitor</b> (Hong Kong, England & Wales and Victoria of Australia) <b>Doctor of Laws honoris causa</b> (The Hong Kong University of Science and Technology) <b>Honorary Doctor of Social Science</b> (City University of Hong Kong) <b>Honorary fellow</b> (HKIoD) <b>Fellow</b> (The Hong Kong Management Association)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointments as the chairman and director of the World Federation of Exchanges ceased effective 15 October 2012



**CHAN Tze Ching, Ignatius**  
BBS, JP

INED  
Aged 56

Director since 23 April 2009  
Term of office: 23 April 2012  
(re-elected) to 2015 AGM

**Other positions held within the Group**

**HKEx** – chairman of Panel Member Nomination Committee, member of Audit Committee and Nomination Committee, and deputy chairman of Clearing Consultative Panel  
**HKSCC** – member of Disciplinary Appeals Committee

**Other major offices**

**CVC Capital Partners Limited** – senior adviser (2010~)  
**Larry Jewelry International Company Limited \***  
– independent non-executive director (2012~)<sup>1</sup>  
**Mongolian Mining Corporation \***  
– independent non-executive director (2010~)  
**Rizal Commercial Banking Corporation** (listed on The Philippine Stock Exchange, Inc.) – non-executive director (2011~)  
**The Bank of East Asia, Limited \*** – senior adviser (2009~)

**Past offices**

**Bank of China (Hong Kong) Limited** – deputy chief executive (2008)  
**Citigroup** (1980-2007: Citigroup country officer for Hong Kong and head of corporate and investment banking business for Greater China (2005-2007), chief operating officer for Greater China (2004-2005), and Citigroup country officer for Taiwan (2003-2005))

**Public service**<sup>2</sup>

**Greater Pearl River Delta Business Council** – member (2008~)  
**Investor Education Centre** (established by the SFC)  
– member of Executive Committee (2012~)<sup>3</sup>

**Qualifications**

**Bachelor of Business Administration** and **Master of Business Administration** (University of Hawaii, US)  
**Certified Public Accountant** (American Institute of Certified Public Accountants)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment effective 28 November 2012

<sup>2</sup> Appointment as the chairman of the Travel Industry Compensation Fund Management Board ceased effective 15 October 2012

<sup>3</sup> Appointment effective 19 October 2012



**Timothy George FRESHWATER**

INED  
Aged 68

Director since 23 April 2012  
Term of office: 23 April 2012  
(appointed) to 2014 AGM

**Other positions held within the Group**

**HKEx** – member of Nomination Committee and Remuneration Committee

**Other major offices**

**Aquarius Platinum Limited** (listed on the Australian Securities Exchange, Johannesburg Stock Exchange, and London Stock Exchange)  
– independent non-executive director (2006~)  
**Chong Hing Bank Limited \***  
– independent non-executive director (1997~)  
**COSCO Pacific Limited \*** – independent non-executive director (2005~)  
**Goldman Sachs (Asia) LLC** – advisory director (2012~)  
**Grosvenor Asia Pacific Limited** – non-executive chairman (2008~)  
**Savills plc** (listed on the London Stock Exchange)  
– independent non-executive director (2012~)  
**Swire Pacific Limited \*** – independent non-executive director (2008~)

**Past offices**

**Goldman Sachs (Asia) LLC** (2001-2012: director (2001-2012); vice chairman (2005-2012); and chairman, corporate finance (2001-2004))  
**HKEx** – INED (2000-2006)  
**Jardine Fleming** (1996-2000: chairman (1999-2000))  
**Slaughter and May** (1967-1996: head of worldwide corporate practice (1993-1996) and partner (1975-1996))

**Qualifications**

**Solicitor** (Hong Kong, and England & Wales)  
**Bachelor of Laws** and **Master of Arts** (University of Cambridge, UK)

\* Currently listed on the Stock Exchange

**John Barrie HARRISON**

INED

Aged 56

Director since 20 April 2011

Term of office: 20 April 2011  
(appointed) to 2013 AGM**Other positions  
held within  
the Group**

**HKE** – chairman of Audit Committee, and member of Environmental, Social and Governance Committee, and Remuneration Committee  
**LME** – independent non-executive director <sup>1</sup>, chairman of Audit and Risk Committee, and member of Remuneration Committee <sup>2</sup>

**Other major  
offices**

**AIA Group Limited** \* – independent non-executive director (2011~)  
**AustralianSuper Pty Ltd** – member of Asian Advisory Committee (2012~)  
**BW Group Ltd** – independent non-executive director (2010~)

**Past offices**

**KPMG** (1977-2010: deputy chairman of KPMG International (2008-2010), chairman of KPMG's Asia Pacific region and chairman of KPMG China and Hong Kong (2003-2009), and partner of KPMG Hong Kong (1987-2009))

**Qualifications**

**Bachelor of Science (Mathematics)** (Durham University, UK)  
**Fellow** (Institute of Chartered Accountants in England & Wales)  
**Member** (HKICPA)

\* Currently listed on the Stock Exchange

1 Appointment effective 6 December 2012

2 Appointments effective 13 December 2012

**HUI Chiu Chung, Stephen JP**

INED

Aged 65

Director since 23 April 2009

Term of office: 20 April 2011  
(re-appointed) to 2013 AGM**Other positions  
held within  
the Group**

**HKE** – member of Investment Advisory Committee and deputy chairman of Cash Market Consultative Panel  
**SEHK** – member of Disciplinary Appeals Committee

**Other major  
offices**

**China South City Holdings Limited** \*  
 – independent non-executive director (2011~)  
**Chun Wo Development Holdings Limited** \*  
 – independent non-executive director (2006~)  
**Frasers Property (China) Limited** \*  
 – independent non-executive director (2004~)  
**Lifestyle International Holdings Limited** \*  
 – independent non-executive director (2005~)  
**Luk Fook Financial Services Limited**  
 – chairman and chief executive officer (2011~)  
**Luk Fook Holdings (International) Limited** \*  
 – non-executive director (1997~)  
**Zhuhai Holdings Investment Group Limited** \* <sup>1</sup>  
 – independent non-executive director (1998~)

**Past offices**

**HKSCC** – director (1992-1996 and 1997-2000)  
**OSK Holdings Hong Kong Limited** – vice-chairman (2011) and chief executive officer (2005-2011)  
**SEHK** – member of Listing Committee and GEM Listing Committee (2003-2009), and second vice-chairman (1995-1996 and 1997-2000) and member (1991-1996) of Council  
**UOB Kay Hian (Asia) Limited** – managing director (2002-2005)

**Public service**

**Travel Industry Council of Hong Kong**  
 – member of Appeal Board (2007~)  
**Zhuhai Municipal Committee of the Chinese People's Political Consultative Conference** – member (2006~)

**Qualifications**

**Fellow** (HKIoD and HKSI)

\* Currently listed on the Stock Exchange

1 Formerly known as Jiuzhou Development Company Limited



**KWOK Chi Piu, Bill**  
JP

INED  
Aged 60

Director since 3 April 2000  
Term of office: 20 April 2011  
(re-elected) to 2014 AGM

**Other positions  
held within  
the Group**

**HKEx** – member of Audit Committee, Executive Committee, Panel Member Nomination Committee and Risk Management Committee, and chairman of Derivatives Market Consultative Panel  
**HKFE** – chairman of Disciplinary Appeals Committee

**Other major  
offices**

**HSBC Private Bank (Suisse) SA** – non-executive director (2006~)  
**Wing On Company International Limited \***  
– non-executive director (1992~)  
**Wing On International Holdings Limited** – executive director (1991~)  
**Wocom Holdings Limited** – managing director (1992~)

**Past offices**

**Bloomberg L.P.** – member of Asia Pacific Advisory Board (2006-2010)  
**HKFE** – director (1991-2000) and vice-chairman (1997-2000)

**Public service**

**SFC** – member of Committee on Real Estate Investment Trusts (2011~)

**Qualifications**

**Bachelor of Science (Chemistry)** and **Bachelor of Arts (Economics)**  
(Stanford University, US)  
**Doctor of Philosophy (Biochemistry)** (University of Chicago, US)  
**Fellow** (HKSI)

\* Currently listed on the Stock Exchange



**LEE Kwan Ho,  
Vincent Marshall**

Officer of the Order of the Crown  
(Belgium)

INED  
Aged 57

Director since 3 April 2000  
Term of office: 20 April 2011  
(re-elected) to 2014 AGM

**Other positions  
held within  
the Group**

**HKEx** – deputy chairman of Audit Committee, member of Executive Committee and Panel Member Nomination Committee, and chairman of Cash Market Consultative Panel  
**SEHK** – chairman of Disciplinary Appeals Committee

**Other major  
offices**

**Kingway Brewery Holdings Limited \***  
– independent non-executive director (2009~)  
**Tung Tai Group of Companies** – chairman (2010~)

**Past offices**

**Coopers and Lybrand, Los Angeles & Boston**  
– certified public accountant (1978-1981)  
**HSBC group, Hong Kong & Vancouver** – senior banker (1981-1990)  
**Tung Tai Group of Companies** – managing director (1990-2010)

**Public service**

**Correctional Services Children's Education Trust Committee**  
– member (2011~)  
**Financial Services Development Council**  
– non-official member (2013~) <sup>1</sup>  
**Sir Murray MacLehose Trust Fund Investment Advisory Committee**  
– chairman (2012~) <sup>2</sup>

**Qualifications**

**Bachelor of Science in Accounting and International Finance (Magna Cum Laude)** (University of Southern California, US)  
**Master of Economics** (London School of Economics and Political Science, UK)  
**Certified Public Accountant** (State of California, US)  
**Fellow** (HKICPA)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment effective 17 January 2013

<sup>2</sup> Appointment effective 1 December 2012



**LEE Tze Hau, Michael**  
JP

INED  
Aged 51

Director since 23 April 2009  
Term of office: 20 April 2011  
(re-appointed) to 2013 AGM

**Other positions  
held within  
the Group**

**HKEx** – member of Environmental, Social and Governance Committee, Investment Advisory Committee, Panel Member Nomination Committee and Risk Management Committee, and deputy chairman of Derivatives Market Consultative Panel  
**HKFE** – member of Disciplinary Appeals Committee

**Other major  
offices**

**Chen Hsong Holdings Limited \***  
– independent non-executive director (2008~)  
**Hysan Development Company Limited \***  
– non-executive director (2010~)  
**MAP Capital Limited** – managing director (2007~)  
**The Hong Kong Jockey Club** – steward (2006~)  
**Trinity Limited \*** – independent non-executive director (2008~)

**Past offices**

**Asia Strategic Investment Management Limited**  
– managing director (1995-2002)  
**Hysan Development Company Limited \*** – managing director (2003-2007), chief operating officer (2002-2003) and non-executive director (1990-2002)  
**Lloyd George Management** – executive director (1992-1995)  
**SEHK** – member of Listing Committee (2004-2007) and GEM Listing Committee (2006-2007)  
**Tai Ping Carpets International Limited \***  
– independent non-executive director (1998-2010)

**Public service <sup>1</sup>**

**Beat Drugs Fund Association**  
– member of Investment Sub-committee (2008~)  
**Council of the Queen Elizabeth Foundation for the Mentally Handicapped** – member (2009~)  
**Hong Kong Committee for Pacific Economic Cooperation**  
– member (2008~)  
**Police Children's Education Trust Management Committee**  
– member (2008~) and chairman (2012~)  
**Police Education and Welfare Trust Management Committee**  
– member (2008~) and chairman (2012~)

**Qualifications**

**Bachelor of Arts** (Bowdoin College, US)  
**Master of Business Administration** (Boston University, US)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment as a member of the Standing Committee on Disciplined Services Salaries and Conditions of Service ceased effective 1 January 2013



**John Estmond  
STRICKLAND**

GBS, JP

INED

Aged 73

Director since 3 April 2000 \*\*

Term of office: 22 April 2010  
(re-elected) to 2013 AGM

Other positions held within the Group	<b>HKEx</b> – chairman of Investment Advisory Committee and member of Nomination Committee <b>SEHK</b> – deputy chairman of Listing Appeals Committee and member of Listing Nominating Committee
Other major offices	<b>Esquel Holdings Inc</b> – non-executive director (2001~) <b>Octopus Holdings Limited</b> – non-executive chairman (2011~)
Past offices	<b>HSBC, Hong Kong &amp; London</b> (1971-1998 and 1966-1969) <b>Integrated Distribution Services Group Limited</b> – non-executive director (2004-2010) <b>The Hongkong and Shanghai Banking Corporation Limited</b> – chairman (1996-1998)
Public service	<b>Financial Reporting Council</b> – member (2012~) <sup>1</sup> <b>Hong Kong Internet Registration Corporation Limited</b> – non-executive director (2008~) and non-executive chairman (2009~)
Qualifications	<b>Degree in Physics</b> (Jesus College, University of Cambridge, UK) <b>Honorary Doctorate in Business Administration</b> (City University of Hong Kong) <b>Honorary Doctorate in Technology</b> (The Hong Kong Polytechnic University) <b>Distinguished fellow</b> (Hong Kong Computer Society) <b>Honorary fellow</b> (The Hong Kong Institute of Bankers) <b>Honorary university fellowship</b> (The University of Hong Kong) <b>Fellow</b> (The Hong Kong Management Association)

\*\* Prior to his appointment as Director on 3 April 2000 by the Financial Secretary under the Exchanges and Clearing Houses (Merger) Ordinance (repealed), Mr Strickland was also a member of the Preparatory Board from 8 July 1999. Mr Strickland is currently an Elected Director.

1 Appointment effective 1 December 2012



**John Mackay McCulloch  
WILLIAMSON**

INED

Aged 54

Director since 18 June 2008

Term of office: 23 April 2012  
(re-elected) to 2015 AGM

Other positions held within the Group	<b>HKEx</b> – member of Audit Committee, Executive Committee and Remuneration Committee
Other major offices	<b>SAIL Advisors Limited</b> – chief executive officer (2011~) <b>Search Investment Group Limited</b> – senior managing director (2012~) and chief financial officer (2007~)
Past offices	<b>HKEx</b> – member of Clearing Consultative Panel (2000-2007) <b>Morgan Stanley Dean Witter Asia Limited</b> – managing director, and head of infrastructure and operational risk (1998-2007) <b>NatWest Investment Services, London</b> – managing director (1992-1994) <b>NatWest Securities Asia Holdings Limited</b> – chief operating officer (1994-1998) <b>Search Investment Group Limited</b> – managing director (2007-2011)
Qualifications	<b>Bachelor of Arts (Accountancy &amp; Computer Science)</b> (Heriot-Watt University, UK) <b>Chartered Accountant</b> (The Institute of Chartered Accountants of Scotland) <b>Fellow</b> (Chartered Institute for Securities and Investment, UK and HKSI)

**WONG Sai Hung, Oscar**

INED

Aged 57

Director since 15 April 2003

Term of office: 22 April 2010  
(re-elected) to 2013 AGM**Other positions  
held within  
the Group**

**HKEx** – deputy chairman of Investment Advisory Committee, member of Nomination Committee and Panel Member Nomination Committee, and chairman of Clearing Consultative Panel  
**HKSCC** – chairman of Disciplinary Appeals Committee

**Other major  
offices**

**ARN Investment Sicav** (listed on the Luxembourg Stock Exchange)  
 – non-executive director (2010~)  
**China Bio-Med Regeneration Technology Limited \***  
 – executive director <sup>1</sup> and chairman (2012~)

**Past offices**

**ARN Asian Enterprise Fund Limited** <sup>2</sup> (formerly listed on the Irish Stock Exchange) – non-executive director (2006-2012) <sup>3</sup>  
**BOCI-Prudential Asset Management Limited**  
 – chief executive officer (2001-2005)  
**China Bio-Med Regeneration Technology Limited \***  
 – non-executive director (2008-2012) <sup>1</sup> and vice-chairman (2009-2012)  
**ICBC (Asia) Investment Management Company Limited**  
 – business adviser (2012), and chief executive and executive director (2008-2011)  
**INVESCO Asia Limited** – deputy chief executive (1998)  
**Prudential Portfolio Managers Asia**  
 – regional managing director (1999-2000)

**Public service**

**Hong Kong Housing Authority**  
 – member of Finance Committee (2008~)

**Qualifications**

**Higher Diploma in Business Studies (Marketing)**  
 (The Hong Kong Polytechnic University)

\* Currently listed on the Stock Exchange

1 Re-designated from non-executive director to executive director effective 23 August 2012

2 Delisted from the Irish Stock Exchange effective 22 June 2012, and is in members' voluntary liquidation

3 Appointment ceased effective 31 August 2012

## Company Secretary

**MAU Kam Shing, Joseph**Company Secretary and  
Head of Secretarial Services  
Aged 54

Joined in June 2000

**Past offices**

**Hysan Development Company Limited** – company secretary (1988-2000)  
**Impala Pacific Corporation Limited** – financial controller and company secretary (1986-1988)  
**Deloitte Haskins & Sells** – audit senior (1981-1984)

**Qualifications**

**Master of Science (Business Administration)**  
 (The University of Bath, UK)  
**Master of Laws in Corporate and Financial Laws**  
 (The University of Hong Kong)  
**Fellow** (The Hong Kong Institute of Chartered Secretaries, The Institute of Chartered Secretaries and Administrators, and Association of Chartered Certified Accountants)  
**Associate** (HKICPA, and Institute of Chartered Accountants in England & Wales)

## Senior Management



**Martin ABBOTT**

Co-head of Global Markets  
(effective 7 January 2013)  
Aged 52

Joined LME in October 2006

**Other positions held within the Group**

**HKEx Investment (UK) Limited** – chairman  
**LME** – chief executive, chairman of Executive Committee and Trustee Committee, and member of Charity Committee and Enforcement Committee

**Other major offices**

**LCH.Clearnet Group Limited** – non-executive director (2008~)  
**LCH.Clearnet Limited** – non-executive director (2008~)

**Past offices**

**Metal Bulletin publishing group** – president and publisher of New York based AMM LLC, and publisher and editor-in-chief of metals, minerals and mining division of London's Metal Bulletin PLC (2001-2006); joint editor (1984-1988)  
**Amalgamated Metal Trading Inc** – president (1998-2000)  
**Sogemin Metals** – president of Sogemin Metals Inc (1996-1997) and associate director of marketing (London) (1993-1996)  
**LME** – director of marketing (1990-1993)

**Qualifications**

**Bachelor of Laws** (The University of Leicester, UK)



**Mark Francis DICKENS**  
JP

Head of Listing <sup>1</sup>  
Aged 60

Joined in January 2009

**Past offices**

**Value Partners Limited** – chief risk officer (2007-2008)  
**HT Capital Management Limited** – adviser (2007)  
**HKEx** – member of Risk Management Committee (2003-2005)  
**SFC** – executive director of supervision of markets division (1999-2005), executive director of enforcement division (1997-1999), and senior director, assistant director and member of panel on takeovers and mergers of corporate finance division (1991-1996)  
**Australian Securities Commission and its predecessor, National Companies and Securities Commission** – several senior positions including national managing director, and senior director of market supervision division (1987-1991)

**Public service**

**SFC** – member of Advisory Committee (2009~)  
**Standing Committee on Company Law Reform** – member (2010~)

**Qualifications**

**Bachelor of Economics and Bachelor of Laws**  
(The Australian National University)  
**Solicitor, Attorney and Proctor**  
(Supreme Court of New South Wales, Australia)  
**Barrister and Solicitor** (Supreme Court of the Australian Capital Territory, the High Court of Australia and the Supreme Court of Victoria, Australia)

<sup>1</sup> Mr Dickens will retire on 1 March 2013.



**David GRAHAM**

Chief Regulatory Officer and  
Head of Listing (Designate) <sup>1</sup>  
Aged 54

Joined in January 2013

**Other positions held within the Group**

**LME** – chairman of Enforcement Committee, and member of Arbitration Committee, Audit and Risk Committee, and Special Committee

**Past offices**

**Nomura** – global head of legal and general counsel, wholesale division (2011-2013)  
**UBS** (2004-2011: global/co-global general counsel (UBS Investment Bank) (2008-2011), group general counsel (Europe, Middle East and Africa) (2009-2011), and general counsel (Asia Pacific) (2004-2008))  
**Morgan Stanley** – general counsel, Asia ex-Japan (2001-2004)  
**Freshfields Bruckhaus Deringer LLP** (1982-2001: partner (1991-2001))

**Public service**

**UK Takeover Panel** – member of the Code Committee (2012~)

**Qualifications**

**Master of Arts in Jurisprudence** (The University of Oxford, UK)  
**Solicitor** (Hong Kong, and England & Wales)

<sup>1</sup> Mr Graham will succeed Mr Mark Dickens, the current Head of Listing, upon Mr Dickens' retirement on 1 March 2013.

**Gerald Dale GREINER**

Head of Global Clearing  
(effective 7 January 2013)  
Aged 56

Joined in May 2004

**Other positions  
held within  
the Group**

**HKSCC** – chief executive, and member of Risk Management Committee

**Past offices**

**SEHK** – chief executive (2007-2013), and member of Compensation Committee (2008-2013)

**HKFE** – chief executive (2004-2013)

**HKEx** – Chief Operating Officer (2007-2013), Deputy Chief Operating Officer and Head of Exchange Division (2004-2007)

**SFC** – senior director of supervision of markets division (1989-2004)

**US Securities and Exchange Commission** – various senior executive positions (1984-1989)

**Qualifications**

**Bachelor of Science in Economics** (Allegheny College, US)

**Juris Doctor** (University of Toledo College of Law, US)

**James Henry Carre INGROUILLE**

Chief Operating Officer  
(effective 4 January 2013)  
Aged 42

Joined in July 2012

**Past offices**

**HKEx** – Chief Administrative Officer (2012-2013)

**Morgan Stanley** (1994-1997, 1999-2012: managing director (2006-2012); global head of collateral management (2008-2011))

**Bankers Trust Company (Hong Kong)** – Asia head, network management (1997-1999)

**London Stock Exchange** – international relations analyst (1992-1994)

**Qualifications**

**Bachelor of Science in Computational Linguistics and Modern Languages** (University of Manchester, UK)

**Romnesh LAMBA**

Co-head of Global Markets  
(effective 7 January 2013)  
Aged 49

Joined in February 2010

**Other positions  
held within  
the Group**

**SEHK** – chief executive, and member of Compensation Committee

**HKFE** – chief executive

**LME** – member of Enforcement Committee, Special Committee, and Trustee Committee

**Past offices**

**HKEx** – Head of Market Development (2010-2013)

**J.P. Morgan (Hong Kong)** – senior adviser, Asia ex-Japan corporate finance and capital markets business (2008-2010)

**Merrill Lynch (Asia Pacific)** (2000-2008: managing director, investment banking, and chief operating officer of China origination business (2006-2008), and head of Asia energy and power team (2003-2006))

**Indosuez W.I. Carr Securities (Hong Kong)** – head of equity capital markets and director, investment banking (1997-2000)

**Qualifications**

**Bachelor of Science in Economics (Magna Cum Laude)** and **Master of Business Administration (Distinction)** (The Wharton School, University of Pennsylvania, US)

**LAW Man Wai, Henry**

Chief Communications Officer  
(effective 7 January 2013)

Aged 58

Joined in January 1988

**Past offices**

**HKE** – Head of Corporate Communications (2000-2013)

**SEHK** – Head of Corporate Communications (1988-2000)

**Ogilvy and Mather Public Relations (Hong Kong) Limited**

– senior consultant and group head of the public relations section for financial institutions and listed companies (1982-1988)

**Michael Stevenson Limited** – advertising and public relations executive (1974-1982)

**Diarmuid O'HEGARTY**

Deputy Chief Executive, LME  
Aged 51

Joined LME in 1998

**Other positions held within the Group**

**LME** – executive director of Regulation and Compliance, and member of Executive Committee and Trustee Committee

**Other major office**

**Federation of European Securities Exchanges** – director (2006~)

**Past offices**

**LME** – general counsel and head of enforcement (1998-2004)

**The Securities and Futures Authority of the UK** – deputy director and head of legal (1994-1998)

**Richards Butler** – solicitor (1990-1994)

**Cawley Sheerin Wynne** – solicitor (1989-1990)

**Qualifications**

**Bachelor of Arts in History** (Trinity College Dublin, Ireland)

**Solicitor** (England & Wales, and Ireland)

**WONG Oi Ming, Christine**

Chief Counsel and  
Head of Legal Services  
Aged 50

Joined in June 2010

**Past offices**

**Linklaters** – consultant (2001-2010)

**SFC** (1995-2001: senior director (2000-2001) and director (1997-2000) of corporate finance)

**Freshfields Bruckhaus Deringer LLP** – associate (1992-1995)

**Qualifications**

**Bachelor of Laws** (The University of Hong Kong)

**Solicitor** (Hong Kong, and England & Wales)

Messrs Martin Abbott, David Graham, Gerald Greiner, Henry Ingrouille and Romnesh Lamba also hold position as a director in certain HKE's subsidiaries.