

BOARD OF DIRECTORS AND SENIOR MANAGEMENT

Board of Directors



CHOW Chung Kong
GBS, JP

Chairman, INED
Aged 65

Director since 23 April 2012
Chairman since 27 April 2012
Term of office: 16 April 2014
(re-appointed) to 2016 AGM

Other positions held with the Group

HKEX – chairman of Environmental, Social and Governance Committee, Executive Committee, Nomination Committee, Remuneration Committee, Risk Committee and Risk Management Committee (statutory)

SEHK – chairman of Listing Appeals Committee and member of Listing Nominating Committee

LME – independent non-executive director and member of Nomination Committee

LMEH – independent non-executive director

Other major offices

AIA Group Limited * – independent non-executive director (2010~)

The Hong Kong Jockey Club – steward (2011~)

World Federation of Exchanges – director (2012~)

Past offices

Anglo American plc (listed on the London Stock Exchange and Johannesburg Stock Exchange) – independent non-executive director (2008-2014)

Brambles Industries plc – chief executive (2001-2003)

GKN plc – chief executive (1997-2001)

MTR Corporation Limited * – chief executive officer (2003-2011)

Public service¹

Commission on Strategic Development – non-official member (2013~)

Council of the Queen Elizabeth Foundation for the Mentally Handicapped – member (2015~)²

Economic Development Commission – non-official member and convenor of Working Group on Transportation (2013~)

Executive Council of the HKSAR – non-official member (2012~)

Independent Commission Against Corruption

– chairman of Advisory Committee on Corruption (2013~)

Qualifications

Chartered Engineer (The Institution of Chemical Engineers, UK)

Bachelor of Science (Chemical Engineering) (University of Wisconsin, US)

Master of Science (Chemical Engineering) (University of California, US)

Master of Business Administration (The Chinese University of Hong Kong)

Honorary Doctor of Engineering (The University of Bath, UK)

Honorary Fellow (The Chinese University of Hong Kong,

The Hong Kong Institution of Engineers, and
The Institution of Engineering and Technology)

Fellow (City & Guilds of London Institute,

Hong Kong Academy of Engineering Sciences,
The Chartered Institute of Logistics and Transport in Hong Kong,
The Institution of Chemical Engineers, and
The Royal Academy of Engineering)

* Currently listed on the Stock Exchange

1 Ceased to be a member of the Standing Committee on Judicial Salaries and Conditions of Service effective 1 January 2016

2 Appointment effective 15 August 2015



LI Xiaojia, Charles

Executive Director,
Chief Executive
Aged 54

Joined on 16 October 2009

Chief Executive since
16 January 2010

Ex-officio member of
the Board

Term of office: renewed
up to 15 October 2018

Other positions held with the Group

HKEX – member of Environmental, Social and Governance Committee, and Executive Committee
SEHK – member of Listing Committee and GEM Listing Committee
HKCC, HKFE, HKSCC, SEHK and **SEOCH** – chairman
LME¹ – member of Nomination Committee
HKEX's certain subsidiaries – director

Other major office

China Entrepreneurs Forum – director (2005~)

Past offices

Brown & Wood, New York – associate (1993-1994)
Davis Polk & Wardwell, New York – associate (1991-1993)
JP Morgan China – chairman (2003-2009)
Merrill Lynch China (1994-2003: president (1999-2003))

Public service

Consulting Committee of Qianhai Shenzhen-Hong Kong Modern Service Industry Cooperation Zone of Shenzhen – member (2012~)

Qualifications

Bachelor of Arts (English Literature) (Xiamen University, China)
Master of Arts (Journalism) (University of Alabama, US)
Juris Doctor (Columbia University, US)

¹ Ceased to be a member of the Special Committee effective 15 October 2015



CHAN Tze Ching, Ignatius

BBS, JP

INED
Aged 59

Director since 23 April 2009

Term of office: 29 April 2015
(re-elected) to 2018 AGM

Other positions held with the Group

HKEX – chairman of Panel Member Nomination Committee, member of Audit Committee, Nomination Committee, Risk Committee and Risk Management Committee (statutory), and deputy chairman of Clearing Consultative Panel
HKSCC – member of Disciplinary Appeals Committee

Other major offices

AFFIN Holdings Berhad (listed on Bursa Malaysia) – non-executive director (2013~)
CVC Capital Partners Limited – senior adviser (2010~)
Mongolian Mining Corporation * – independent non-executive director (2010~)
Rizal Commercial Banking Corporation (listed on the Philippine Stock Exchange) – non-executive director (2011~)
The Bank of East Asia Limited * – senior adviser (2009~)

Past offices

Bank of China (Hong Kong) Limited – deputy chief executive (2008)
Citigroup (1980-2007: Citigroup country officer for Hong Kong and head of corporate and investment banking business for Greater China (2005-2007), chief operating officer for Greater China (2004-2005), and Citigroup country officer for Taiwan (2003-2005))
Larry Jewelry International Company Limited * – independent non-executive director (2012-2014)

Public service

Financial Reporting Council – member (2014~)
Hong Kong Tourism Board – member (2013~)
Investor Education Centre (established by the SFC) – member of Executive Committee (2012~)
Standing Commission on Civil Service Salaries and Conditions of Service – member (2014~)
The Hong Kong Polytechnic University – chairman of council (2016~)¹

Qualifications

Bachelor of Business Administration and **Master of Business Administration** (University of Hawaii, US)
Certified Public Accountant (American Institute of Certified Public Accountants)

* Currently listed on the Stock Exchange

¹ Appointment effective 1 January 2016



**Timothy George
FRESHWATER**

INED
Aged 71

Director since 23 April 2012
Term of office: 16 April 2014
(re-appointed) to 2016 AGM

Other positions held with the Group

HKEX – member of Investment Advisory Committee, Nomination Committee and Remuneration Committee
SEHK – deputy chairman of Listing Appeals Committee and member of Listing Nominating Committee

Other major offices

Aquarius Platinum Limited (listed on the Australian Securities Exchange, Johannesburg Stock Exchange, and London Stock Exchange)
– independent non-executive director (2006–)
Goldman Sachs (Asia) LLC – advisory director (2012–)
Savills plc (listed on the London Stock Exchange)
– independent non-executive director (2012–)
Swire Pacific Limited * – independent non-executive director (2008–)

Past offices

Chong Hing Bank Limited * – independent non-executive director (1997-2014)
COSCO Pacific Limited * – independent non-executive director (2005-2015)
Goldman Sachs (Asia) LLC (2001-2012: director (2001-2012), vice chairman (2005-2012), and chairman, corporate finance (2001-2004))
HKEX – INED (2000-2006)
Jardine Fleming (1996-2000: chairman (1999-2000))
Slaughter and May (1967-1996: head of worldwide corporate practice (1993-1996) and partner (1975-1996))

Public service

Financial Services Development Council
– member and member of New Business Committee (2015–)

Qualifications

Solicitor (Hong Kong, and England & Wales)
Bachelor of Laws and **Master of Arts** (University of Cambridge, UK)

* Currently listed on the Stock Exchange



FUNG Yuen Mei, Anita
BBS, JP

INED
Aged 55

Director since 29 April 2015
Term of office: 29 April 2015
(appointed) to 2017 AGM

Other positions held with the Group

HKEX – member of Audit Committee, Investment Advisory Committee and Panel Member Nomination Committee, and deputy chairman of Derivatives Market Consultative Panel
HKFE – member of Disciplinary Appeals Committee

Other major office

Hang Lung Properties Limited * – independent non-executive director (2015–)

Past offices

Bank of Communications Co Ltd * – non-executive director (2010-2015)
Hang Seng Bank Limited * – non-executive director (2011-2014)
HSBC Holdings plc * – group general manager (2008-2015)
The Hongkong and Shanghai Banking Corporation Limited
(1996-2015: chief executive officer Hong Kong (2011-2015), head of global banking and markets, Asia Pacific (2010-2011), and treasurer and head of global markets, Asia Pacific (2005-2010))

Public service

Airport Authority Hong Kong
– independent non-executive member of the board (2010–)
Hong Kong Housing Authority – non-official member (2012–)
Hong Kong Monetary Authority – member of Financial Infrastructure Sub-Committee of the Exchange Fund Advisory Committee (2011–)

Qualifications

Bachelor of Social Science (The University of Hong Kong)
Master of Applied Finance (Macquarie University, Australia)

* Currently listed on the Stock Exchange

**Rafael GIL-TIENDA**

INED
Aged 63

Director since 29 April 2015
Term of office: 29 April 2015
(appointed) to 2017 AGM

Other positions held with the Group

HKEX – member of Environmental, Social and Governance Committee, and Risk Committee, and deputy chairman of Cash Market Consultative Panel
SEHK – member of Disciplinary Appeals Committee

Other major offices

China CITIC Bank International Limited – independent non-executive director (2003–)
CITIC International Financial Holdings Limited
– independent non-executive director (2004–)
Oliver Wyman – chairman of Asia Pacific region (2012–)

Past offices

Citibank (1977-1998: country manager of Citibank for Spain (1992-1998), for Malaysia (1988-1992) and for China (1984-1988))
Marsh & McLennan Companies – chairman of Asia Pacific region (2003-2011)
Standard Chartered Bank (1998-2003: head of Asian wholesale banking business (2001-2003), and head of corporate and institutional banking business, Greater China (1998-2001))

Qualifications

Bachelor of Arts (Philosophy, Politics and Economics) (University of Oxford, UK)
Master of Business Administration (University of California, Berkeley, US)

**John Barrie HARRISON**

INED
Aged 59

Director since 20 April 2011
Term of office: 29 April 2015
(re-appointed) to 2017 AGM

Other positions held with the Group

HKEX – chairman of Audit Committee and member of Risk Committee
LME – independent non-executive director, and chairman of Audit and Risk Committee
LME Clear – independent non-executive director, chairman of Audit Committee, and member of Nomination Committee and Remuneration Committee

Other major offices

AIA Group Limited * – independent non-executive director (2011–)
BW Group Limited – independent non-executive director (2010–)
BW LPG Limited (listed on Oslo Borse)
– vice-chairman and independent non-executive director (2013–)
Cathay Pacific Airways Limited * – independent non-executive director (2015–)

Past offices

KPMG (1977-2010: deputy chairman of KPMG International (2008-2010), chairman of KPMG's Asia Pacific region and chairman of KPMG China and Hong Kong (2003-2009), and partner of KPMG Hong Kong (1987-2009))

Qualifications

Bachelor of Science (Mathematics) (Durham University, UK)
Fellow (Institute of Chartered Accountants in England & Wales)
Member (HKICPA)

* Currently listed on the Stock Exchange



HU Zuli, Fred

INED
Aged 52

Director since
10 November 2014
Term of office: 29 April 2015
(elected) to 2018 AGM

Other positions held with the Group

HKEX – member of Investment Advisory Committee and Nomination Committee

Other major offices

Dalian Wanda Commercial Properties Co Ltd *

– independent non-executive director (2014~)

Hang Seng Bank Limited * – independent non-executive director (2011~)

Lloyd's – council member (2014~)

Primavera Capital Limited – founder and chairman (2011~)

SCMP Group Limited * – independent non-executive director (2010~)

Shanghai Pudong Development Bank Co Ltd (listed on the Shanghai Stock Exchange)

– external supervisor (2008~)

Tsinghua University – professor and co-director of National Center for
Economic Research (1996~)

Past offices

Goldman Sachs Group Inc (1997-2010: chairman of Greater China (2008-2010) and
managing director (2000-2010))

International Monetary Fund, Washington DC – economist (1991-1996)

Qualifications

Master and Doctor of Philosophy (Economics) (Harvard University, US)

Master of Science (Engineering Science) (Tsinghua University, China)

* Currently listed on the Stock Exchange



KWOK Chi Piu, Bill

JP

INED
Aged 63

Director since 3 April 2000
Term of office: 16 April 2014
(re-elected) to 2017 AGM

Other positions held with the Group

HKEX – member of Audit Committee, Executive Committee,
Panel Member Nomination Committee and Remuneration Committee, and
chairman of Derivatives Market Consultative Panel

HKFE – chairman of Disciplinary Appeals Committee

OTC Clear – chairman, independent non-executive director and
chairman of Risk Management Committee

Other major offices

HSBC Private Bank (Suisse) SA – non-executive director (2006~)

Wing On Company International Limited * – non-executive director (1992~)

Wing On International Holdings Limited – executive director (1991~)

Wocom Holdings Limited – managing director (1992~)

Past offices

Bloomberg LP – member of Asia Pacific Advisory Board (2006-2010)

HKFE – director (1991-2000) and vice-chairman (1997-2000)

Public service

SFC – member of Committee on Real Estate Investment Trusts (2011~)

Qualifications

Bachelor of Science (Chemistry) and **Bachelor of Arts (Economics)**
(Stanford University, US)

Doctor of Philosophy (Biochemistry) (University of Chicago, US)

Senior Fellow (Hong Kong Securities and Investment Institute)

* Currently listed on the Stock Exchange



**LEE Kwan Ho,
Vincent Marshall**

Officer of the Order of
the Crown (Belgium)

INED
Aged 60

Director since 3 April 2000
Term of office: 16 April 2014
(re-elected) to 2017 AGM

Other positions held with the Group

HKEX – member of Environmental, Social and Governance Committee,
Executive Committee, Panel Member Nomination Committee and
Remuneration Committee, and chairman of Cash Market Consultative Panel
SEHK – chairman of Disciplinary Appeals Committee

Other major offices

Guangdong Land Holdings Limited * – independent non-executive director (2009–)
LT Commercial Real Estate Limited * – non-executive director (2013–)
Tung Tai Group of Companies – chairman (2010–)

Past offices

Coopers and Lybrand, Los Angeles & Boston – certified public accountant (1978-1981)
HSBC Group, Hong Kong & Vancouver – senior banker (1981-1990)
Tung Tai Group of Companies – managing director (1990-2010)

Public service

Clearing and Settlement Systems Appeals Tribunal – member (2013–)
Correctional Services Children's Education Trust Committee – member (2011–)
Financial Services Development Council – member (2013–) and
member of Policy Research Committee (2014–)
Sir Murray MacLehose Trust Fund Investment Advisory Committee
– chairman (2012–)

Qualifications

Bachelor of Science (Accounting and International Finance) (Magna Cum Laude)
(University of Southern California, US)
Master of Economics (London School of Economics and Political Science, UK)
Certified Public Accountant (State of California, US)
Fellow (HKICPA)

* Currently listed on the Stock Exchange



**LEUNG KO May Yee,
Margaret**

SBS, JP

INED

Aged 63

Director since 24 April 2013

Term of office: 29 April 2015
(re-appointed) to 2017 AGM

Other positions held with the Group

HKEX – member of Executive Committee, Risk Committee and Risk Management Committee (statutory)

SEHK – member of Listing Nominating Committee

Other major offices

China Construction Bank Corporation * – independent non-executive director (2013~)

Chong Hing Bank Limited * – deputy chairman, executive director and managing director (2014~)

First Pacific Company Limited * – independent non-executive director (2012~)

Li & Fung Limited * – independent non-executive director (2013~)

QBE Insurance Group Limited (listed on the Australian Securities Exchange) – independent non-executive director (2013~)

Sun Hung Kai Properties Limited * – independent non-executive director (2013~)

The Hong Kong Jockey Club – steward (2014~)

Yuexiu Financial Holdings Limited – vice-chairman, executive director and chief executive (2014~)

Past offices

Hang Seng Bank Limited * – vice-chairman and chief executive (2009-2012)

HSBC Group – global co-head, commercial banking (2003-2009)

HSBC Holdings plc * – group general manager (2005-2012)

Wells Fargo HSBC Trade Bank, NA – director (2007-2010)

Public service¹

Steering Committee on Strategic Review on Healthcare Manpower Planning and Professional Development – member (2012~)

The Guangzhou Municipal Committee of the Chinese People's Political Consultative Conference – member (2008~)

The Henan Provincial Committee of the Chinese People's Political Consultative Conference – member of Standing Committee (2009~)

The National Committee of the Chinese People's Political Consultative Conference – member (2013~)

Qualifications

Bachelor in Economics, Accounting and Business Administration
(The University of Hong Kong)

* Currently listed on the Stock Exchange

¹ Ceased to be a board member and a member of Finance Committee of the Hospital Authority effective 1 December 2015



**John Mackay McCulloch
WILLIAMSON**

INED

Aged 57

Director since 18 June 2008

Term of office: 29 April 2015
(re-elected) to 2018 AGM

Other positions held with the Group

HKEX – member of Audit Committee, Environmental, Social and Governance Committee, Nomination Committee and Remuneration Committee

Other major offices

SAIL Advisors Limited – chief executive officer (2011~)

Search Investment Group Limited – senior managing director (2012~) and chief financial officer (2007~)

Past offices

HKEX – member of Clearing Consultative Panel (2000-2007)

Morgan Stanley Dean Witter Asia Limited – managing director, and head of infrastructure and operational risk (1998-2007)

NatWest Investment Services, London – managing director (1992-1994)

NatWest Securities Asia Holdings Limited – chief operating officer (1994-1998)

Search Investment Group Limited – managing director (2007-2011)

Qualifications

Bachelor of Arts (Accountancy & Computer Science) (Heriot-Watt University, UK)

Chartered Accountant (The Institute of Chartered Accountants of Scotland)

Fellow (Chartered Institute for Securities and Investment, UK)

Senior Fellow (Hong Kong Securities and Investment Institute)

**WONG Sai Hung, Oscar**

INED
Aged 60

Director since 15 April 2003
Term of office: 24 April 2013
(re-elected) to 2016 AGM

Other positions held with the Group

HKEX – chairman of Investment Advisory Committee,
member of Panel Member Nomination Committee and
chairman of Clearing Consultative Panel
HKSCC – chairman of Disciplinary Appeals Committee

Other major offices

China Regenerative Medicine International Limited *
– chairman (2014~) and executive director (2016~) ¹
Credit China Holdings Limited * – non-executive director (2014~)
JPMorgan Chinese Investment Trust plc (listed on the London Stock Exchange)
– independent non-executive director (2014~)
Ping An Insurance (Group) Company of China Ltd *
– independent non-executive director (2013~)

Past offices

ARN Investment Sicav (listed on the Luxembourg Stock Exchange)
– non-executive director (2010-2014)
BOCI-Prudential Asset Management Limited – chief executive officer (2001-2005)
China Regenerative Medicine International Limited *
– chairman and executive director (2012-2013), vice-chairman (2009-2012 and
2013-2014), and non-executive director (2008-2012 and 2013-2016) ¹
ICBC (Asia) Investment Management Company Limited
– business adviser (2012), and chief executive and executive director (2008-2011)
INVESCO Asia Limited – deputy chief executive (1998)
LW Management Advisors Limited – executive director (2013-2014)
Prudential Portfolio Managers Asia – regional managing director (1999-2000)

Qualifications

Higher Diploma in Business Studies (Marketing)
(The Hong Kong Polytechnic University)

* Currently listed on the Stock Exchange

¹ Re-designated from a non-executive director to an executive director effective 11 January 2016

Company Secretary**MAU Kam Shing, Joseph**

Company Secretary and
Head of Secretarial Services
Aged 57

Joined in June 2000

Past office

Hysan Development Company Limited – company secretary (1988-2000)

Qualifications

Master of Science (Business Administration) (The University of Bath, UK)
Master of Laws in Corporate and Financial Laws (The University of Hong Kong)
Fellow (The Hong Kong Institute of Chartered Secretaries,
The Institute of Chartered Secretaries and Administrators, and
Association of Chartered Certified Accountants)
Associate (HKICPA, and Institute of Chartered Accountants in England & Wales)

Senior Management



CHENG Wai Ching, Margaret

Group Head of
Human Resources
Aged 50

Joined in August 2013

Past offices

The Hongkong and Shanghai Banking Corporation Limited

(2001-2013: head of human resources, Hong Kong and global businesses, Asia Pacific (2011-2013), head of human resources, regional personal financial services & Hong Kong (2009-2011), head of human resources, China (2004-2009), head of human resources, treasury & capital markets, Asia Pacific (2002-2004), and head of human resources, investment banking division, Asia Pacific (2001-2002))

Bank of America – vice president, regional human resources (2001)

JP Morgan – vice president, human resources (1997-2001)

Citibank – human resources manager (1993-1997)

Public service

Civil Service Bureau – member of the Standing Committee on Disciplined Services Salaries and Conditions of Service (2015~)

Education Bureau – vice chairperson of the Cross-Industry Training Advisory Committee for the Human Resource Management sector (2014~)

Labour and Welfare Bureau – member of Manpower Development Committee (2015~)

Qualifications

Bachelor of Arts (Business Administration) (California State University, US)

Master of Business Administration

(Kellogg School of Management, Northwestern University, US, and The Hong Kong University of Science and Technology)



CHOW Tang Bill, Bill

Chief Technology Officer
and Co-head of
Information Technology
Aged 59

Joined in May 1993

Past offices

Systek Information Technology Limited – senior project manager (1991-1993)

Westpac Banking Corporation (Australia) – consultant applications engineer (1987-1991)

China Light & Power Company Limited – senior systems analyst (1985-1987)

Qualifications

Bachelor of Science (Computer Science & Chemistry) (The University of Hong Kong)

Master of Science (Electronic Commerce) (City University of Hong Kong)



Adrian John Winston FARNHAM

Chief Executive, LME Clear
(effective 1 January 2016)
Aged 51

Joined the LME
in January 2013

Other positions held with the Group

LME – member of Charity Committee and Executive Committee
LME Clear – chairman of Executive Committee

Past offices

LME Clear – chief operating officer (2013-2015)
Turquoise Global Holdings Limited – chief executive officer (2010-2012) and
chief operating officer (2007-2009)
Morgan Stanley – executive director of operations and several senior positions
in audit, finance and operations (1994-2006)

Qualifications

Bachelor of Science (Management Sciences) (London School of Economics, UK)
Associate (Institute of Chartered Accountants in England & Wales)



David GRAHAM

Chief Regulatory Officer
and Head of Listing
Aged 57

Joined in January 2013

Other positions held with the Group

LME – chairman of Enforcement Committee, and member of Arbitration Panel
Committee, Audit and Risk Committee, and Special Committee

Past offices

Nomura – global head of legal and general counsel, wholesale division (2011-2013)
UBS (2004-2011: global/co-global general counsel (UBS Investment Bank)
(2008-2011), group general counsel (Europe, Middle East and Africa) (2009-2011), and
general counsel (Asia Pacific) (2004-2008))
Morgan Stanley – general counsel, Asia ex-Japan (2001-2004)
Freshfields Bruckhaus Deringer LLP (1982-2001: partner (1991-2001))

Public service

SFC – member of Advisory Committee (2013~)
Standing Committee on Company Law Reform – member (2013~)

Qualifications

Master of Arts (Jurisprudence) (The University of Oxford, UK)
Solicitor (Hong Kong, and England & Wales)



Garry Peter JONES

Chief Executive, LME
Aged 57

Joined in September 2013

Other positions held with the Group

LME – chairman of Executive Committee, vice chairman of Aluminium Committee, and member of Charity Committee, Enforcement Committee, Trustee Committee (LME Pension Scheme) and User Committee

Past offices

HKEX – Co-head of Global Markets (2013-2015)

NYSE Euronext – group executive vice president and head of global derivatives (2009-2012)

NYSE LIFFE (2007-2012: chief executive officer (2009-2012) and head of business development (2007-2009))

ICAP Electronic Broking – chief executive officer (2003-2006)

Brokertec Europe Limited – chief executive officer and president (1999-2003)

Qualifications

Bachelor and Master of Arts (Geology) (University of Oxford, UK)

Master of Business Administration (Stanford University, US)



Paul Michael KENNEDY

Group Chief Financial Officer
Aged 58

Joined in January 2013

Past offices

HKEX – special adviser to HKEX's Chief Executive (2012-2013)

The Hongkong and Shanghai Banking Corporation Limited – managing director, financial institutions group of Hong Kong's global banking division (2010-2012)

SFC – several senior positions including chief operating officer and executive director (2006-2010)

KPMG (1983-2006: financial services partner of KPMG Hong Kong (1991-2006) and managing partner of KPMG's Shanghai office (2000-2005))

Qualifications

Bachelor of Arts (Accounting and Financial Administration) (Bangor University, UK)

Fellow (HKICPA, and Institute of Chartered Accountants in England & Wales)



Romnesh LAMBA

Co-head of Market Development
(effective 1 January 2016)
Aged 52

Joined in February 2010

Other positions held with the Group

HKFE – chief executive

SEHK – chief executive

Past offices

HKEX – Co-head of Global Markets (2013-2015) and Head of Market Development (2010-2013)

JP Morgan (Hong Kong) – senior adviser, Asia ex-Japan corporate finance and capital markets business (2008-2010)

Merrill Lynch (Asia Pacific) (2000-2008: managing director, investment banking, and chief operating officer of China origination business (2006-2008), and head of Asia energy and power team (2003-2006))

Indosuez WI Carr Securities (Hong Kong) – head of equity capital markets and director, investment banking (1997-2000)

Qualifications

Bachelor of Science in Economics (Magna Cum Laude) and **Master of Business Administration (Distinction)**

(The Wharton School, University of Pennsylvania, US)

**LEE Kwok Keung, Roger**

Head of Markets
(effective 1 January 2016)
Aged 57

Joined in March 1997

Other positions held with the Group

SEHK – chairman of Compensation Committee, and Disciplinary Committee

Past offices

HKEX – Deputy Head of Global Markets, Asia and Head of Market Operations (2014-2015), Head of Structured Products, Fixed Income and Primary Market Information of the Listing and Regulatory Affairs Division (2013-2014), Co-head of Information Technology (2010-2011), Head of Listing Operations (2007-2010 and 2012-2013), Head of Cash Market (2005-2007), and Head of Information Services (2000-2005)

SEHK – director of Trading and Information Services (1997-2000)

Public service

SFC – member of Securities Compensation Fund Committee and Investor Compensation Fund Committee (2014~) and member of the Advisory Committee of the Investor Education Centre (2015~)

Vocational Training Council – member of Banking and Finance Industry Training Board (2013~)

Qualifications

Bachelor of Business Administration (The Chinese University of Hong Kong)

Master of Business Administration (The University of Hong Kong)

Fellow (CPA Australia)

Senior Fellow (Hong Kong Securities and Investment Institute)

**LEUNG Chung Kwong, Richard**

Co-head of Information
Technology
Aged 52

Joined in October 2011

Past offices

Chi-X Global – chief technology officer (2008-2011)

Cicada Corporation – chief technology officer (1999-2008)

Telerate Inc – regional technical development manager, Asia Pacific (1985-1999)

Qualifications

Master of Science (E-Commerce) (The Hong Kong Polytechnic University)



LI Gang

Co-head of Market Development
(effective 1 January 2016)
Aged 49

Joined in March 2013

Past offices

HKEX – Co-head of Mainland (2015) and Senior Adviser (2013-2015)
Shanghai Billionton Metal – chief executive officer (2004-2012)

Qualifications

Bachelor of Science (Earth and Space Sciences)
(University of Science and Technology of China, China)



MAO Zhirong

Head of Mainland Affairs
(effective 1 January 2016)
Aged 52

Joined in March 2013

Other major offices

China Exchanges Services Company Limited
– chief executive (2014~) and director (2013~)

Past offices

HKEX – Co-head of Mainland (2015) and Head of Mainland Development (2013-2015)
Shenzhen Stock Exchange – several senior positions including director of strategy and international affairs, director of financial innovation lab and head of derivative product task force, and deputy director at member supervision department (2001-2013)
WellPoint Inc – various positions across functions including information technology, product development and risk management (1993-2001)

Qualifications

Bachelor of Arts (Economics) (Fudan University, China)
Master of Arts (Economics) and **Doctor of Philosophy (International Affairs)**
(University of California, San Diego, US)



Ketan B PATEL

Group Risk Officer
(effective 1 January 2015)
Aged 37

Joined in September 2011

Other positions held with the Group

LME Clear – member of Audit Committee and Nomination Committee

Past offices

HKEX – Co-head of Clearing Risk Management and Head of FIC and OTC Clearing Risk Management (2013-2014) and Co-deputy Head of Risk Management (2012-2013)
OTC Clear – chairman of Default Management Group (2013-2014)
CME Group (2005-2011: executive director (2009-2011), and director of risk management (2009))

Qualifications

Bachelor in Management (Purdue University, US)
Master of Business Administration (Economics and Analytical Finance)
(Booth School of Business, The University of Chicago, US)



**Trevor William
SPANNER**

Chief Operating Officer
(effective 1 January 2016)
Aged 56

Joined the LME
in November 2011

Other positions held with the Group

LME Clear – member of Nomination Committee

Past offices

HKEX – Head of Global Clearing (Europe) (2014-2015)

LME Clear – chief executive (2013-2015)

LME – managing director of Post Trade Services (2011-2013)

European Central Counterparty Ltd (EuroCCP) – chief operating officer (2007-2011)

Merrill Lynch Europe – managing director (European) and head of transaction and custody services (2001-2006)

UBS AG – managing director (global operations and logistics division) (1996-2001)

Qualifications

Bachelor of Arts (University of Kent, UK)

Associate (Institute of Chartered Accountants in England & Wales)



TAI Chi Kin, Calvin

Head of Clearing
(effective 1 January 2016)
Aged 53

Joined in July 1998

Other positions held with the Group

HKSCC – chief executive and member of Risk Management Committee

OTC Clear – chief executive and chairman of User Committee

Other major office

China Exchanges Services Company Limited – director (2012–)

Past offices

HKEX – Head of Global Clearing (Asia) (2014-2015),

Co-head of the Equities and Fixed Income and Currency Business (2013-2014),

Head of Trading Division (2010-2013), and

Head of Derivatives Market Development and Operations (2003-2010)

HKFE – head of products (1998-2000)

ABN-Amro Bank NV – senior vice president of treasury division (1995-1998)

Royal Bank of Canada – head of treasury department (1994-1995)

HSBC – various positions in general banking and treasury (1984-1994)

Qualifications

Bachelor of Social Sciences (The University of Hong Kong)

Adrian Farnham, David Graham, Garry Jones, Paul Kennedy, Romnesh Lamba, Roger Lee, Richard Leung, Mao Zhirong, Ketan Patel, Trevor Spanner and Calvin Tai also act as directors in certain HKEX's subsidiaries.