

Pursuant to Chapter 38 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited, the Securities and Futures Commission regulates Hong Kong Exchanges and Clearing Limited in relation to the listing of its shares on The Stock Exchange of Hong Kong Limited. The Securities and Futures Commission takes no responsibility for the contents of this announcement, makes no representation as to its accuracy or completeness, and expressly disclaims any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this announcement.



(Incorporated in Hong Kong with limited liability)

(Stock Code: 388)

### **Legal proceedings involving a subsidiary**

The London Metal Exchange (“LME”), a subsidiary of Hong Kong Exchanges and Clearing Limited (“HKEx” or “Company”), was named as a co-defendant in a class action lawsuit filed in the US District Court for the Eastern District of Michigan on 1 August 2013. LME management’s initial assessment is that the suit is without merit and LME will contest it vigorously.

This announcement is made pursuant to the provisions under Part XIVA of the Securities and Futures Ordinance and Rule 13.09 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

On 1 August 2013, LME, a wholly-owned subsidiary of HKEx, was named as a co-defendant along with Goldman Sachs Group, Inc., in a class action lawsuit filed in the US District Court for the Eastern District of Michigan alleging anti-competitive and monopolistic behaviour in the warehousing market in connection with aluminium prices. The lead plaintiff is Superior Extrusion, Inc.

LME is currently seeking legal advice in relation to the legal proceedings. LME management’s initial assessment is that the suit is without merit and LME will contest it vigorously.

Further announcement will be made as and when appropriate.

This announcement is made by the order of the Company. HKEx’s Board of Directors (“Board”) collectively and individually accepts responsibility for the accuracy of this announcement.

By the order of the Board  
**Hong Kong Exchanges and Clearing Limited**  
**Joseph Mau**  
Company Secretary

Hong Kong, 4 August 2013

As at the date of this announcement, the Board comprises 12 Independent Non-executive Directors, namely Mr CHOW Chung Kong (Chairman), Mr CHAN Tze Ching, Ignatius, Mr Timothy George FRESHWATER, Mr John Barrie HARRISON, Mr HUI Chiu Chung, Stephen, Dr KWOK Chi Piu, Bill, Mr LEE Kwan Ho, Vincent Marshall, Mr LEE Tze Hau, Michael, Mrs LEUNG KO May Yee, Margaret, Mr John Estmond STRICKLAND, Mr John Mackay McCulloch WILLIAMSON and Mr WONG Sai Hung, Oscar, and one Executive Director, Mr LI Xiaojia, Charles, who is also HKEx’s Chief Executive.