

Pursuant to Chapter 38 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited, the Securities and Futures Commission regulates Hong Kong Exchanges and Clearing Limited in relation to the listing of its shares on The Stock Exchange of Hong Kong Limited. The Securities and Futures Commission takes no responsibility for the contents of this announcement, makes no representation as to its accuracy or completeness, and expressly disclaims any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this announcement.



(Incorporated in Hong Kong with limited liability)
(Stock Code: 388)

Legal proceedings involving a subsidiary

The London Metal Exchange (“LME”), a subsidiary of Hong Kong Exchanges and Clearing Limited (“HKEx” or “Company”), was named as a co-defendant in another class action lawsuit filed in the United States (“US”), the nature of which is similar to that announced on 4 August 2013. LME management’s initial assessment remains that the suits are without merit and LME will contest them vigorously.

This announcement is made pursuant to the provisions under Part XIVA of the Securities and Futures Ordinance (the “SFO”) and Rule 13.09 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “Listing Rules”).

Further to the announcement dated 4 August 2013, the Company notes that LME, a wholly owned subsidiary of HKEx, was named as a co-defendant in another class action lawsuit along with Goldman Sachs Group, Inc., JP Morgan Chase & Co. and Glencore Xstrata, Inc. filed in the US District Court for the Northern District of Florida. The claim is similar in nature as the one announced on 4 August and seeks damages and injunctive relief with respect to anti-competitive and monopolistic behaviour in the warehousing market in connection with aluminium prices. LME has not been served in any of the suits to date.

Due to the preliminary nature of these proceedings, LME does not currently have sufficient information to estimate the financial effect (if any) relating to the lawsuits, the timing of the ultimate resolution of the actions, or what the eventual outcome might be. However, LME management’s initial assessment remains that the suits are without merit and LME will contest them vigorously.

In light of the class action nature of the complaints, HKEx and LME understand that it is not uncommon for additional follow-on suits of a similar nature to be filed in the US once a class action has commenced. The Company may not, therefore, make further announcements each time it becomes aware of similar lawsuits unless there is new information regarding a claim which should be the subject of an announcement. The Company will update shareholders and investors on material developments regarding the lawsuits in compliance with Part XIVA of the SFO and Rule 13.09 of the Listing Rules.

Shareholders of the Company and potential investors are advised to exercise caution when dealing in the shares of HKEx.

This announcement is made by order of the Company. HKEx's Board of Directors ("Board") collectively and individually accepts responsibility for the accuracy of this announcement.

By order of the Board
Hong Kong Exchanges and Clearing Limited
Joseph Mau
Company Secretary

Hong Kong, 7 August 2013

As at the date of this announcement, the Board comprises 12 Independent Non-executive Directors, namely Mr CHOW Chung Kong (Chairman), Mr CHAN Tze Ching, Ignatius, Mr Timothy George FRESHWATER, Mr John Barrie HARRISON, Mr HUI Chiu Chung, Stephen, Dr KWOK Chi Piu, Bill, Mr LEE Kwan Ho, Vincent Marshall, Mr LEE Tze Hau, Michael, Mrs LEUNG KO May Yee, Margaret, Mr John Estmond STRICKLAND, Mr John Mackay McCulloch WILLIAMSON and Mr WONG Sai Hung, Oscar, and one Executive Director, Mr LI Xiaojia, Charles, who is also HKEx's Chief Executive.