

# BOARD OF DIRECTORS AND SENIOR MANAGEMENT

## Board of Directors



**CHOW Chung Kong**

Chairman, INED  
Aged 63

Director since 23 April 2012  
Chairman since 27 April 2012  
Term of office: 23 April 2012  
(appointed) to 2014 AGM

### Other positions held within the Group

**HKE** – chairman of Environmental, Social and Governance Committee, Executive Committee, Nomination Committee, Remuneration Committee and Risk Management Committee  
**SEHK** – chairman of Listing Appeals Committee and member of Listing Nominating Committee  
**LME** – independent non-executive director and member of Nomination Committee  
**LMEH** – independent non-executive director

### Other major offices

**AIA Group Limited** \* – independent non-executive director (2010~)  
**Anglo American plc** (listed on the London Stock Exchange and Johannesburg Stock Exchange)  
– independent non-executive director (2008~)  
**The Hong Kong General Chamber of Commerce** – chairman (2012~)  
**The Hong Kong Jockey Club** – steward (2011~)  
**World Federation of Exchanges** – director (2012~)

### Past offices

**Brambles Industries plc** – chief executive (2001-2003)  
**GKN plc** – chief executive (1997-2001)  
**MTR Corporation Limited** \* – chief executive officer (2003-2011)

### Public service

**Commission on Strategic Development**  
– non-official member (2013~)  
**Economic Development Commission** – non-official member and convenor of Working Group on Transportation (2013~)  
**Executive Council of the HKSAR** – non-official member (2012~)  
**Hong Kong Trade Development Council** – council member (2012~)  
**ICAC** – chairman of Advisory Committee on Corruption (2013~)  
**Standing Committee on Judicial Salaries and Conditions of Service**  
– member (2010~)

### Qualifications

**Chartered Engineer** (The Institution of Chemical Engineers, UK)  
**Bachelor of Science (Chemical Engineering)**  
(University of Wisconsin, US)  
**Master of Science (Chemical Engineering)**  
(University of California, US)  
**Master of Business Administration**  
(The Chinese University of Hong Kong)  
**Honorary Doctor of Engineering** (The University of Bath, UK)  
**Honorary Fellow** (The Chinese University of Hong Kong, The Hong Kong Institution of Engineers, and The Institution of Engineering and Technology)  
**Fellow** (City & Guilds of London Institute, Hong Kong Academy of Engineering Sciences, The Chartered Institute of Logistics and Transport in Hong Kong, The Institution of Chemical Engineers, and The Royal Academy of Engineering)

\* Currently listed on the Stock Exchange

**LI Xiaojia, Charles**

Executive Director, Chief Executive  
Aged 52

Joined on 16 October 2009

Chief Executive since 16 January 2010  
Ex-officio member of the Board  
Term of office: renewed up to  
15 October 2015

**Other positions  
held within  
the Group**

**HKEx** – member of Environmental, Social and Governance Committee, and Executive Committee  
**SEHK** – member of Listing Committee and GEM Listing Committee  
**HKCC, HKFE, HKSCC, SEHK and SEOCH** – chairman  
**LME** – member of Nomination Committee and Special Committee  
**HKEx's certain subsidiaries** – director

**Other major  
office**

**China Entrepreneurs Forum** – director (2005~)

**Past offices**

**Brown & Wood, New York** – associate (1993-1994)  
**Davis Polk & Wardwell, New York** – associate (1991-1993)  
**JP Morgan China** – chairman (2003-2009)  
**Merrill Lynch China** (1994-2003: president (1999-2003))

**Public service**

**Consulting Committee of Qianhai Shenzhen-Hong Kong Modern Service Industry Cooperation Zone of Shenzhen** – member (2012~)

**Qualifications**

**Bachelor of Arts (English Literature)** (Xiamen University, China)  
**Master of Arts (Journalism)** (University of Alabama, US)  
**Juris Doctor** (Columbia University, US)

**CHAN Tze Ching, Ignatius**  
BBS, JP

INED  
Aged 57

Director since 23 April 2009  
Term of office: 23 April 2012  
(re-elected) to 2015 AGM

**Other positions  
held within  
the Group**

**HKEx** – chairman of Panel Member Nomination Committee, member of Audit Committee, Nomination Committee and Risk Management Committee, and deputy chairman of Clearing Consultative Panel  
**HKSCC** – member of Disciplinary Appeals Committee

**Other major  
offices**

**Affin Holdings Berhad** (listed on Bursa Malaysia) – non-executive director (2013~) <sup>1</sup>  
**CVC Capital Partners Limited** – senior adviser (2010~)  
**Larry Jewelry International Company Limited \*** – independent non-executive director (2012~)  
**Mongolian Mining Corporation \*** – independent non-executive director (2010~)  
**Rizal Commercial Banking Corporation** (listed on the Philippine Stock Exchange) – non-executive director (2011~)  
**The Bank of East Asia Limited \*** – senior adviser (2009~)

**Past offices**

**Bank of China (Hong Kong) Limited** – deputy chief executive (2008)  
**Citigroup** (1980-2007: Citigroup country officer for Hong Kong and head of corporate and investment banking business for Greater China (2005-2007), chief operating officer for Greater China (2004-2005), and Citigroup country officer for Taiwan (2003-2005))

**Public service**

**Hong Kong Tourism Board** – member (2013~)  
**Investor Education Centre** (established by the SFC) – member of Executive Committee (2012~)  
**Standing Commission on Civil Service Salaries and Conditions of Service** – member (2014~) <sup>2</sup>

**Qualifications**

**Bachelor of Business Administration and Master of Business Administration** (University of Hawaii, US)  
**Certified Public Accountant** (American Institute of Certified Public Accountants)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment effective 6 August 2013

<sup>2</sup> Appointment effective 1 January 2014



**Timothy George  
FRESHWATER**

INED  
Aged 69

Director since 23 April 2012  
Term of office: 23 April 2012  
(appointed) to 2014 AGM

**Other positions  
held within  
the Group**

**HKEx** – member of Executive Committee and Nomination Committee

**Other major  
offices**

**Aquarius Platinum Limited** (listed on the Australian Securities Exchange, Johannesburg Stock Exchange, and London Stock Exchange) – independent non-executive director (2006~)

**COSCO Pacific Limited \***

– independent non-executive director (2005~)

**Goldman Sachs (Asia) LLC** – advisory director (2012~)

**Savills plc** (listed on the London Stock Exchange)

– independent non-executive director (2012~)

**Swire Pacific Limited \*** – independent non-executive director (2008~)

**Past offices**

**Chong Hing Bank Limited \*** – independent non-executive director (1997-2014) <sup>1</sup>

**Goldman Sachs (Asia) LLC** – (2001-2012: director (2001-2012); vice chairman (2005-2012); and chairman, corporate finance (2001-2004))

**HKEx** – INED (2000-2006)

**Jardine Fleming** (1996-2000: chairman (1999-2000))

**Slaughter and May** (1967-1996: head of worldwide corporate practice (1993-1996) and partner (1975-1996))

**Qualifications**

**Solicitor** (Hong Kong, and England & Wales)

**Bachelor of Laws** and **Master of Arts** (University of Cambridge, UK)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment ceased effective 14 February 2014



**John Barrie HARRISON**

INED  
Aged 57

Director since 20 April 2011  
Term of office: 24 April 2013  
(re-appointed) to 2015 AGM

**Other positions  
held within  
the Group**

**HKEx** – chairman of Audit Committee, and member of Environmental, Social and Governance Committee

**LME** – independent non-executive director, and chairman of Audit and Risk Committee

**LME Clear** – independent non-executive director, chairman of Audit Committee, and member of Nomination Committee and Remuneration Committee <sup>1</sup>

**Other major  
offices**

**AIA Group Limited \*** – independent non-executive director (2011~)

**AustralianSuper Pty Ltd**

– member of Asian Advisory Committee (2012~)

**BW Group Limited** – independent non-executive director (2010~)

**BW LPG Limited** (listed on Oslo Borse) – vice-chairman and independent non-executive director (2013~) <sup>2</sup>

**Past offices**

**KPMG** (1977-2010: deputy chairman of KPMG International (2008-2010), chairman of KPMG's Asia Pacific region and chairman of KPMG China and Hong Kong (2003-2009), and partner of KPMG Hong Kong (1987-2009))

**Qualifications**

**Bachelor of Science (Mathematics)** (Durham University, UK)

**Fellow** (Institute of Chartered Accountants in England & Wales)

**Member** (HKICPA)

\* Currently listed on the Stock Exchange

<sup>1</sup> All appointments effective 4 December 2013 except for Nomination Committee which took effect on 16 December 2013

<sup>2</sup> Appointments effective 21 November 2013



**HUI Chiu Chung, Stephen**  
JP

INED

Aged 66

Director since 23 April 2009

Term of office: 24 April 2013  
(re-appointed) to 2015 AGM

**Other positions  
held within  
the Group**

**HKEx** – member of Environmental, Social and Governance Committee, and Investment Advisory Committee, and deputy chairman of Cash Market Consultative Panel

**SEHK** – member of Disciplinary Appeals Committee

**Other major  
offices**

**China South City Holdings Limited \***

– independent non-executive director (2011~)

**Chun Wo Development Holdings Limited \***

– independent non-executive director (2006~)

**Gemdale Properties and Investment Corporation Limited \***

– independent non-executive director (2004~)

**Lifestyle International Holdings Limited \***

– independent non-executive director (2005~)

**Luk Fook Financial Services Limited**

– chairman and chief executive officer (2011~)

**Luk Fook Holdings (International) Limited \***

– non-executive director (1997~)

**SINOPEC Engineering (Group) Co Ltd \***

– independent non-executive director (2013~)

**Zhuhai Holdings Investment Group Limited \***

– independent non-executive director (1998~)

**Past offices**

**HKSCC** – director (1992-1996 and 1997-2000)

**OSK Holdings Hong Kong Limited** – vice-chairman (2011) and chief executive officer (2005-2011)

**SEHK** – member of Listing Committee and GEM Listing Committee (2003-2009), and second vice-chairman (1995-1996 and 1997-2000) and member (1991-1996) of Council

**UOB Kay Hian (Asia) Limited** – managing director (2002-2005)

**Public service <sup>2</sup>**

**The Administrative Committee of Hengqin New Area, Zhuhai** – member of Hengqin New Area Development Advisory Committee <sup>1</sup>, and Hong Kong and Macao Legal Issues Expert Group of Hengqin New Area (2013~)

**Zhuhai Municipal Committee of the Chinese People's Political Consultative Conference** – member (2006~)

**Qualifications**

**Fellow** (HKIoD and HKSI)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment effective 1 August 2013

<sup>2</sup> Ceased to be a member of the Appeal Board of the Travel Industry Council of Hong Kong effective 14 August 2013



**KWOK Chi Piu, Bill**  
JP

INED  
Aged 61

Director since 3 April 2000  
Term of office: 20 April 2011  
(re-elected) to 2014 AGM

**Other positions  
held within  
the Group**

**HKEx** – member of Audit Committee, Executive Committee, Panel Member Nomination Committee and Remuneration Committee, and chairman of Derivatives Market Consultative Panel  
**HKFE** – chairman of Disciplinary Appeals Committee

**Other major  
offices**

**HSBC Private Bank (Suisse) SA** – non-executive director (2006~)  
**Wing On Company International Limited** \*  
– non-executive director (1992~)  
**Wing On International Holdings Limited** – executive director (1991~)  
**Wocom Holdings Limited** – managing director (1992~)

**Past offices**

**Bloomberg LP** – member of Asia Pacific Advisory Board (2006-2010)  
**HKFE** – director (1991-2000) and vice-chairman (1997-2000)

**Public service**

**Financial Services Development Council** – non-official member of New Business Committee (2013~)  
**SFC** – member of Committee on Real Estate Investment Trusts (2011~)

**Qualifications**

**Bachelor of Science (Chemistry)** and **Bachelor of Arts (Economics)** (Stanford University, US)  
**Doctor of Philosophy (Biochemistry)** (University of Chicago, US)  
Fellow (HKSI)

\* Currently listed on the Stock Exchange



**LEE Kwan Ho,  
Vincent Marshall**  
Officer of the Order of the Crown  
(Belgium)

INED  
Aged 58

Director since 3 April 2000  
Term of office: 20 April 2011  
(re-elected) to 2014 AGM

**Other positions  
held within  
the Group**

**HKEx** – deputy chairman of Audit Committee, member of Executive Committee, Panel Member Nomination Committee and Remuneration Committee, and chairman of Cash Market Consultative Panel  
**SEHK** – chairman of Disciplinary Appeals Committee

**Other major  
offices**

**Guangdong Land Holdings Limited** \* <sup>1</sup>  
– independent non-executive director (2009~)  
**LT Holdings Limited** \* – non-executive director (2013~)  
**Tung Tai Group of Companies** – chairman (2010~)

**Past offices**

**Coopers and Lybrand, Los Angeles & Boston**  
– certified public accountant (1978-1981)  
**HSBC group, Hong Kong & Vancouver** – senior banker (1981-1990)  
**Tung Tai Group of Companies** – managing director (1990-2010)

**Public service**

**Clearing and Settlement Systems Appeals Tribunal**  
– member (2013~) <sup>2</sup>  
**Correctional Services Children's Education Trust Committee**  
– member (2011~)  
**Financial Services Development Council** – non-official member and member of New Business Committee (2013~)  
**Sir Murray MacLehose Trust Fund Investment Advisory Committee**  
– chairman (2012~)

**Qualifications**

**Bachelor of Science (Accounting and International Finance)** (**Magna Cum Laude**) (University of Southern California, US)  
**Master of Economics** (London School of Economics and Political Science, UK)  
**Certified Public Accountant** (State of California, US)  
Fellow (HKICPA)

\* Currently listed on the Stock Exchange

<sup>1</sup> Formerly known as Kingway Brewery Holdings Limited

<sup>2</sup> Appointment effective 4 November 2013



**LEE Tze Hau, Michael**  
JP

INED  
Aged 52

Director since 23 April 2009  
Term of office: 24 April 2013  
(re-appointed) to 2015 AGM

**Other positions  
held within  
the Group**

**HKEx** – member of Audit Committee <sup>1</sup>, Environmental, Social and Governance Committee, Investment Advisory Committee and Panel Member Nomination Committee, and deputy chairman of Derivatives Market Consultative Panel  
**HKFE** – member of Disciplinary Appeals Committee  
**OTC Clear** – independent non-executive director and chairman, and chairman of Risk Management Committee <sup>2</sup>

**Other major  
offices**

**Chen Hsong Holdings Limited \***  
– independent non-executive director (2008~)  
**Hysan Development Company Limited \***  
– non-executive director (2010~)  
**MAP Capital Limited** – managing director (2007~)  
**The Hong Kong Jockey Club** – steward (2006~)  
**Trinity Limited \*** – independent non-executive director (2008~)

**Past offices**

**Asia Strategic Investment Management Limited**  
– managing director (1995-2002)  
**Hysan Development Company Limited \***  
– managing director (2003-2007), chief operating officer (2002-2003) and non-executive director (1990-2002)  
**Lloyd George Management** – executive director (1992-1995)  
**SEHK** – member of Listing Committee (2004-2007) and GEM Listing Committee (2006-2007)

**Public service**

**Beat Drugs Fund Association**  
– member of Investment Sub-committee (2008~)  
**Council of the Queen Elizabeth Foundation for the Mentally Handicapped** – member (2009~)  
**Hong Kong Committee for Pacific Economic Cooperation**  
– member (2008~)  
**Police Children's Education Trust Management Committee**  
– member (2008~) and chairman (2012~)  
**Police Education and Welfare Trust Management Committee**  
– member (2008~) and chairman (2012~)

**Qualifications**

**Bachelor of Arts** (Bowdoin College, US)  
**Master of Business Administration** (Boston University, US)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment effective 9 December 2013

<sup>2</sup> Appointment effective 31 October 2013





**LEUNG KO May Yee,  
Margaret**  
SBS, JP

INED  
Aged 61

Director since 24 April 2013  
Term of office: 24 April 2013  
(appointed) to 2015 AGM

#### Other positions held within the Group

**HKEx** – member of Remuneration Committee and  
Risk Management Committee  
**SEHK** – member of Listing Nominating Committee

#### Other major offices

**China Construction Bank Corporation \***  
– independent non-executive director (2013~) <sup>1</sup>  
**Chong Hing Bank Limited \*** – deputy chairman, managing director and  
executive director (2014~) <sup>2</sup>  
**First Pacific Company Limited \***  
– independent non-executive director (2012~)  
**Li & Fung Limited \*** – independent non-executive director (2013~)  
**QBE Insurance Group Limited** (listed on the Australian Securities  
Exchange) – independent non-executive director (2013~) <sup>3</sup>  
**Sun Hung Kai Properties Limited \***  
– independent non-executive director (2013~)  
**Yuexiu Financial Holdings Limited** – vice-chairman, executive director  
and chief executive (2014~) <sup>2</sup>

#### Past offices

**Hang Seng Bank Limited \***  
– vice-chairman and chief executive (2009-2012)  
**HSBC Group** – global co-head, commercial banking (2003-2009)  
**HSBC Holdings plc \*** – group general manager (2005-2012)  
**Hutchison Whampoa Limited \***  
– independent non-executive director (2009-2012)  
**Swire Pacific Limited \***  
– independent non-executive director (2008-2012)  
**Wells Fargo HSBC Trade Bank NA** – director (2007-2010)

#### Public service <sup>4</sup>

**Hospital Authority**  
– board member and member of Finance Committee (2011~)  
**Independent Commission on Remuneration for Members of the  
Executive Council and the Legislature, and Officials under the  
Political Appointment System of the HKSAR** – member (2012~)  
**Standing Committee on Disciplined Services Salaries and Conditions  
of Service** – member (2009~) and chairman of Police Sub-Committee  
(2011~)  
**Steering Committee on Strategic Review on Healthcare Manpower  
Planning and Professional Development** – member (2012~)  
**The Guangzhou Municipal Committee of the Chinese People's  
Political Consultative Conference** – member (2008~)  
**The Henan Provincial Committee of the Chinese People's Political  
Consultative Conference** – member of Standing Committee (2009~)  
**The National Committee of the Chinese People's Political  
Consultative Conference** – member (2013~)

#### Qualifications

**Bachelor in Economics, Accounting and Business Administration**  
(The University of Hong Kong)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment effective 12 December 2013

<sup>2</sup> Appointments effective 14 February 2014

<sup>3</sup> Appointment effective 21 August 2013

<sup>4</sup> Ceased to be a member of the Banking Review Tribunal effective 6 January 2014

 <p><b>John Estmond STRICKLAND</b> GBS, JP</p> <p>INED Aged 74</p> <p>Director since 3 April 2000 ** Term of office: 24 April 2013 (re-elected) to 2016 AGM</p>	Other positions held within the Group	<p><b>HKE</b> – chairman of Investment Advisory Committee</p> <p><b>SEHK</b> – deputy chairman of Listing Appeals Committee and member of Listing Nominating Committee</p>
	Other major offices	<p><b>Esquel Holdings Inc</b> – non-executive director (2001~)</p> <p><b>Octopus Holdings Limited</b> – non-executive chairman (2011~)</p>
	Past offices	<p><b>HSBC, Hong Kong &amp; London</b> (1971-1998 and 1966-1969)</p> <p><b>Integrated Distribution Services Group Limited</b> – non-executive director (2004-2010)</p> <p><b>The Hongkong and Shanghai Banking Corporation Limited</b> – chairman (1996-1998)</p>
	Public service	<p><b>Financial Reporting Council</b> – member (2012~)</p> <p><b>Hong Kong Internet Registration Corporation Limited</b> – non-executive director (2008~) and non-executive chairman (2009~)</p>
	Qualifications	<p><b>Degree in Physics</b> (Jesus College, University of Cambridge, UK)</p> <p><b>Honorary Doctorate in Business Administration</b> (City University of Hong Kong)</p> <p><b>Honorary Doctorate in Technology</b> (The Hong Kong Polytechnic University)</p> <p><b>Distinguished fellow</b> (Hong Kong Computer Society)</p> <p><b>Honorary fellow</b> (The Hong Kong Institute of Bankers)</p> <p><b>Honorary university fellowship</b> (The University of Hong Kong)</p> <p><b>Fellow</b> (The Hong Kong Management Association)</p>

\*\* Prior to his appointment as Director on 3 April 2000 by the Financial Secretary under the Exchanges and Clearing Houses (Merger) Ordinance (repealed), Mr Strickland was also a member of the Preparatory Board from 8 July 1999. Mr Strickland is currently an Elected Director.

 <p><b>John Mackay McCulloch WILLIAMSON</b></p> <p>INED Aged 55</p> <p>Director since 18 June 2008 Term of office: 23 April 2012 (re-elected) to 2015 AGM</p>	Other positions held within the Group	<p><b>HKE</b> – member of Audit Committee, Nomination Committee and Remuneration Committee</p>
	Other major offices	<p><b>SAIL Advisors Limited</b> – chief executive officer (2011~)</p> <p><b>Search Investment Group Limited</b> – senior managing director (2012~) and chief financial officer (2007~)</p>
	Past offices	<p><b>HKE</b> – member of Clearing Consultative Panel (2000-2007)</p> <p><b>Morgan Stanley Dean Witter Asia Limited</b> – managing director, and head of infrastructure and operational risk (1998-2007)</p> <p><b>NatWest Investment Services, London</b> – managing director (1992-1994)</p> <p><b>NatWest Securities Asia Holdings Limited</b> – chief operating officer (1994-1998)</p> <p><b>Search Investment Group Limited</b> – managing director (2007-2011)</p>
	Qualifications	<p><b>Bachelor of Arts (Accountancy &amp; Computer Science)</b> (Heriot-Watt University, UK)</p> <p><b>Chartered Accountant</b> (The Institute of Chartered Accountants of Scotland)</p> <p><b>Fellow</b> (Chartered Institute for Securities and Investment, UK and HKSI)</p>



**WONG Sai Hung, Oscar**

INED

Aged 58

Director since 15 April 2003

Term of office: 24 April 2013  
(re-elected) to 2016 AGM**Other positions  
held within  
the Group****HKE** – deputy chairman of Investment Advisory Committee, member of Nomination Committee and Panel Member Nomination Committee, and chairman of Clearing Consultative Panel**HKSCC** – chairman of Disciplinary Appeals Committee**Other major  
offices****China Bio-Med Regeneration Technology Limited \***

– vice-chairman and non-executive director (2013~)

**LW Management Advisors Limited** – executive director (2013~)**Ping An Insurance (Group) Company of China Ltd \***

– independent non-executive director (2013~)

**Past offices****ARN Asian Enterprise Fund Limited** (formerly listed on the Irish Stock Exchange) – non-executive director (2006-2012)**ARN Investment Sicav** (listed on the Luxembourg Stock Exchange) – non-executive director (2010-2014) <sup>1</sup>**BOCI-Prudential Asset Management Limited**

– chief executive officer (2001-2005)

**China Bio-Med Regeneration Technology Limited \***

– chairman and executive director (2012-2013), vice-chairman (2009-2012), and non-executive director (2008-2012)

**ICBC (Asia) Investment Management Company Limited**

– business adviser (2012), and chief executive and executive director (2008-2011)

**INVESCO Asia Limited** – deputy chief executive (1998)**Prudential Portfolio Managers Asia**

– regional managing director (1999-2000)

**Public service****Hong Kong Housing Authority**

– member of Finance Committee (2008~)

**Qualifications****Higher Diploma in Business Studies (Marketing)**

(The Hong Kong Polytechnic University)

\* Currently listed on the Stock Exchange

<sup>1</sup> Appointment ceased effective 8 January 2014

## Company Secretary

**MAU Kam Shing, Joseph**Company Secretary and  
Head of Secretarial Services  
Aged 55

Joined in June 2000

**Past offices****Hysan Development Company Limited**

– company secretary (1988-2000)

**Impala Pacific Corporation Limited**

– financial controller and company secretary (1986-1988)

**Deloitte Haskins & Sells** – audit senior (1981-1984)**Qualifications****Master of Science (Business Administration)**

(The University of Bath, UK)

**Master of Laws in Corporate and Financial Laws**

(The University of Hong Kong)

**Fellow** (The Hong Kong Institute of Chartered Secretaries, The Institute of Chartered Secretaries and Administrators, and Association of Chartered Certified Accountants)**Associate** (HKICPA, and Institute of Chartered Accountants in England & Wales)

## Senior Management

 <p><b>CHENG Wai Ching, Margaret</b></p> <p>Group Head, Human Resources Age 48</p> <p>Joined in August 2013</p>	<p><b>Past offices</b></p> <p><b>The Hongkong and Shanghai Banking Corporation Limited</b> (2001-2013: head of human resources, Hong Kong and global businesses, Asia Pacific (2011-2013), head of human resources, regional personal financial services &amp; Hong Kong (2009-2011), head of human resources, China (2004-2009), head of human resources, treasury &amp; capital markets, Asia Pacific (2002-2004), and head of human resources, investment banking division, Asia Pacific (2001-2002))</p> <p><b>Bank of America</b> – vice president, regional human resources (2001)</p> <p><b>JP Morgan</b> – vice president, human resources (1997-2001)</p> <p><b>Citibank</b> – human resources manager (1993-1997)</p>
	<p><b>Qualifications</b></p> <p><b>Bachelor of Arts (Business Administration)</b> (California State University, US)</p> <p><b>Master of Business Administration</b> (Kellogg School of Management, Northwestern University, US, and The Hong Kong University of Science and Technology)</p>
 <p><b>CHOW Tang Bill, Bill</b></p> <p>Chief Technology Officer and Co-head of Information Technology Age 57</p> <p>Joined in May 1993</p>	<p><b>Past offices</b></p> <p><b>Systek Information Technology Limited</b> – senior project manager (1991-1993)</p> <p><b>Westpac Banking Corporation (Australia)</b> – consultant applications engineer (1987-1991)</p> <p><b>China Light &amp; Power Company Limited</b> – senior systems analyst (1985-1987)</p>
	<p><b>Qualifications</b></p> <p><b>Bachelor of Science (Computer Science &amp; Chemistry)</b> (The University of Hong Kong)</p> <p><b>Master of Science (Electronic Commerce)</b> (City University of Hong Kong)</p>
 <p><b>David GRAHAM</b></p> <p>Chief Regulatory Officer and Head of Listing <sup>1</sup> Aged 55</p> <p>Joined in January 2013</p>	<p><b>Other positions held within the Group</b></p> <p><b>LME</b> – chairman of Enforcement Committee, and member of Arbitration Panel Committee, Audit and Risk Committee, and Special Committee</p>
	<p><b>Past offices</b></p> <p><b>Nomura</b> – global head of legal and general counsel, wholesale division (2011-2013)</p> <p><b>UBS</b> (2004-2011: global/co-global general counsel (UBS Investment Bank) (2008-2011), group general counsel (Europe, Middle East and Africa) (2009-2011), and general counsel (Asia Pacific) (2004-2008))</p> <p><b>Morgan Stanley</b> – general counsel, Asia ex-Japan (2001-2004)</p> <p><b>Freshfields Bruckhaus Deringer LLP</b> (1982-2001: partner (1991-2001))</p>
	<p><b>Public service</b></p> <p><b>SFC</b> – member of Advisory Committee (2013~)</p> <p><b>Standing Committee on Company Law Reform</b> – member (2013~)</p> <p><b>UK Takeover Panel</b> – member of the Code Committee (2012~)</p>
	<p><b>Qualifications</b></p> <p><b>Master of Arts (Jurisprudence)</b> (The University of Oxford, UK)</p> <p><b>Solicitor</b> (Hong Kong, and England &amp; Wales)</p>

<sup>1</sup> Mr Graham became Head of Listing effective 1 March 2013.

**Gerald Dale GREINER**

Head of Global Clearing  
(effective 7 January 2013)

Aged 57

Joined in May 2004

**Other positions  
held within  
the Group**

**HKSCC** – chief executive, and member of Risk Management Committee  
**LME Clear** – member of Audit Committee, Nomination Committee and Remuneration Committee  
**OTC Clear** – chief executive, and chairman of User Committee

**Past offices**

**SEHK** – chief executive (2007-2013), and member of Compensation Committee (2008-2013)  
**HKFE** – chief executive (2004-2013)  
**HKEx** – Chief Operating Officer (2007-2013), Deputy Chief Operating Officer and Head of Exchange Division (2004-2007)  
**SFC** – senior director of supervision of markets division (1989-2004)  
**US Securities and Exchange Commission** – various senior executive positions (1984-1989)

**Qualifications**

**Bachelor of Science (Economics)** (Allegheny College, US)  
**Juris Doctor** (University of Toledo College of Law, US)  
**Fellow** (HKSI)

**James Henry Carre INGROUILLE**

Chief Operating Officer  
(effective 4 January 2013)

Aged 43

Joined in July 2012

**Past offices**

**HKEx** – Chief Administrative Officer (2012-2013)  
**Morgan Stanley** (1994-1997, 1999-2012: managing director (2006-2012); global head of collateral management (2008-2011))  
**Bankers Trust Company (Hong Kong)** – Asia head, network management (1997-1999)  
**London Stock Exchange** – international relations analyst (1992-1994)

**Qualifications**

**Bachelor of Science (Computational Linguistics and Modern Languages)** (University of Manchester, UK)

**Garry Peter JONES**

Co-head of Global Markets  
Aged 55

Joined in September 2013

**Other positions  
held within  
the Group**

**HKEx Investment (UK) Limited** – chairman  
**LME** – chief executive, chairman of Executive Committee, and member of Charity Committee, Enforcement Committee, Trustee Committee (LME Pension Scheme) and User Committee

**Past offices**

**NYSE Euronext** – group executive vice president and head of global derivatives (2009-2012)  
**NYSE LIFFE** – chief executive officer (2007-2009)  
**ICAP Electronic Broking** – chief executive officer (2003-2006)  
**Brokertec Europe Limited** – chief executive officer and president (1999-2003)

**Qualifications**

**Bachelor and Master of Arts (Geology)** (University of Oxford, UK)  
**Master of Business Administration** (Stanford University, US)

**Paul Michael KENNEDY**

Group Chief Financial Officer  
(effective 8 May 2013)

Aged 56

Joined in January 2013

**Past offices**

**HKEx** – special adviser to HKEx’s Chief Executive (2012-2013)  
**The Hongkong and Shanghai Banking Corporation Limited**  
 – managing director, financial institutions group of Hong Kong’s global banking division (2010-2012)  
**SFC** – several senior positions including chief operating officer and executive director (2006-2010)  
**KPMG** (1983-2006: financial services partner of KPMG Hong Kong (1991-2006) and managing partner of KPMG’s Shanghai office (2000-2005))

**Qualifications**

**Bachelor of Arts (Accounting and Financial Administration)**  
 (Bangor University, UK)  
**Fellow** (HKICPA, and Institute of Chartered Accountants in England & Wales)

**Romnesh LAMBA**

Co-head of Global Markets  
(effective 7 January 2013)

Aged 50

Joined in February 2010

**Other positions held within the Group**

**HKFE** – chief executive  
**LME** – member of Enforcement Committee, Special Committee, and Trustee Committee (LME Pension Scheme)  
**LME Clear** – member of Nomination Committee and Remuneration Committee  
**SEHK** – chief executive

**Past offices**

**HKEx** – Head of Market Development (2010-2013)  
**JP Morgan (Hong Kong)** – senior adviser, Asia ex-Japan corporate finance and capital markets business (2008-2010)  
**Merrill Lynch (Asia Pacific)** (2000-2008: managing director, investment banking, and chief operating officer of China origination business (2006-2008), and head of Asia energy and power team (2003-2006))  
**Indosuez WI Carr Securities (Hong Kong)** – head of equity capital markets and director, investment banking (1997-2000)

**Qualifications**

**Bachelor of Science in Economics (Magna Cum Laude)** and  
**Master of Business Administration (Distinction)**  
 (The Wharton School, University of Pennsylvania, US)

**LAW Man Wai, Henry**

Chief Communications Officer  
(effective 7 January 2013)

Aged 59

Joined in January 1988

**Past offices**

**HKEx** – Head of Corporate Communications (2000-2013)  
**SEHK** – Head of Corporate Communications (1988-2000)  
**Ogilvy and Mather Public Relations (Hong Kong) Limited**  
 – senior consultant and group head of the public relations section for financial institutions and listed companies (1982-1988)  
**Michael Stevenson Limited** – advertising and public relations executive (1974-1982)



**LEUNG Chung Kwong,  
Richard**

Co-head of Information Technology  
Age 50

Joined in October 2011

**Past offices**

**Chi-X Global** – chief technology officer (2008-2011)  
**Cicada Corporation** – chief technology officer (1999-2008)  
**Telerate Inc** – regional technical development manager, Asia Pacific (1985-1999)

**Qualifications**

**Master of Science (E-Commerce)** (The Hong Kong Polytechnic University)

Messrs David Graham, Gerald Greiner, Garry Jones, Paul Kennedy, Romnesh Lamba and Richard Leung also hold position as a director in certain HKEx's subsidiaries.